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# IN THE UNITED STATES ELECTED/DESIGNATED OFFICE OF THE UNITED STATES PATENT AND TRADEMARK OFFICE UNDER THE PATENT COOPERATION TREATY-CHAPTER II

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#### PRELIMINARY AMENDMENT

APPLICANT: Wolfgang Zirwas DOCKET NO: 112740-229

SERIAL NO: GROUP ART UNIT:

10 EXAMINER:

INTERNATIONAL APPLICATION NO: PCT/DE99/03865

INTERNATIONAL FILING DATE: 02 December 1999

INVENTION: METHOD AND COMMUNICATION SYSTEM FOR

TRANSMITTING INFORMATION WITH THE AID OF A

15 MULTICARRIER METHOD

Assistant Commissioner for Patents, Washington, D.C. 20231

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Sir:

Please amend the above-identified International Application before entry into the National stage before the U.S. Patent and Trademark Office under 35 U.S.C. §371 as follows:

# 25 <u>In the Specification:</u>

Please replace the Specification of the present application, including the Abstract, with the following Substitute Specification:

## **SPECIFICATION**

# **TITLE**

# METHOD AND COMMUNICATION SYSTEM FOR TRANSMITTING INFORMATION WITH THE AID OF A MULTICARRIER METHOD BACKGROUND OF THE INVENTION

## Field of the Invention

The present relates, generally, to a method and communication system for transmitting information with the aid of a multicarrier method and, more specifically, to such a method and system wherein maximum utilization of available transmission resources of a transmission medium is achieved during the transmission of information via the transmission medium which has frequency-selective transmission characteristics.

### **Description of the Prior Art**

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In wireless communication networks based on radio channels, especially in point-to-multipoint radio feeder networks (also called "radio in the local loop" or, respectively, "RLL"), a number of network terminating units are, in each case, connected to a base station (also called "radio base station" or, respectively "RBS") via one or more radio channels. In telcom report No. 18 (1995), vol. 1 "Drahtlos zum Freizeichen" [Wireless to the ringing tone] page 36, 37, for example, a wireless feeder network for the wireless speech and data communication is described. The communication system described represents an RLL subscriber line in combination with a modern broadband infrastructure, e.g. "fiber to the curb", which can be implemented within a short time and without great expenditure instead of running wire-connected local loops. The network terminating units RNT allocated to the individual subscribers are connected to a higher-level communication network, for example to the ISDN-oriented landline network, via the "radio channel" transmission medium and the base station RBS.

Due to the increasing spread of multimedia applications, high-bit-rate data streams must be transmitted rapidly and reliably via communication networks, especially via wireless communication networks or, respectively, via mobile radio systems, and high demands are made on the radio transmission systems which are based on a transmission medium "radio channel" which is susceptible to interference and difficult to assess with regard to the quality of transmission. A transmission method for transmitting broadband data streams, such as video data streams, is represented by, for example, the OFDM (orthogonal frequency division multiplexing) transmission method based on a so-called multicarrier method. In the

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OFDM transmission technology, the information to be transferred or, respectively, the data stream to be transferred is divided or, respectively, converted to parallel form, to a number of sub-channels or subcarriers within the radio channel. The information to be transferred in each case being transmitted at a relatively low data rate but in parallel in relatively superimposed form. The OFDM transmission technology is used, for example, in digital terrestrial radio (also called digital audio broadcasting DAB) and for digital terrestrial television (also called digital terrestrial video broadcasting DTVB).

The OFDM transmission method is described in greater detail in the printed document "Mitteilungen der TU-Braunschweig, Mobilfunktechnik für Multimedia-Anwendungen" (Information as the Braunschweig technical university, mobile radio technology for multimedia applications), Professor H. Rohling, volume XXXI, issue 1-1996, in figure 6, page 46. In this method, a serial/parallel conversion is performed for the modulation of, for example, the n subcarriers on the basis of a serial data stream in the transmitter, a binary code word with word length k (the word length k being dependent on the modulation method used) being formed in each case for the ith OFDM block in time with block length T' and the jth subcarrier. From the code words formed, the corresponding complex modulation symbols, also called transmit symbols in the text which follows, are formed with the aid of a transmitter-specific modulation method, wherein one transmit symbol is allocated to each of the k subcarriers at any time i. The spacing of the individual subcarriers is defined by  $\Delta f = 1$ -T' which guarantees that the individual subcarrier signals are orthogonal within the useful interval [0,T']. By multiplying the oscillations of the individual subcarriers by the corresponding modulation symbols or transmit symbols and subsequently adding the modulation products formed, the corresponding discrete-time transmit signal is generated for the ith OFDM block in time. This transmit signal is calculated in sampled, i.e. in discrete-time form by an inverse discrete Fourier transform (IDFT) directly from the modulation symbols or transmit symbols of the individual subcarriers

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considered. To minimize intersymbol interferences, each OFDM block is preceded by a guard interval T<sub>G</sub> in the time domain which causes an extension of the discretetime OFDM signal in the interval [-T<sub>G</sub>, 0]; compare "Mitteilungen der TU-Braunschweig, Mobilfunktechnik für Multimedia-Anwendungen", figure 7. The inserted guard interval T<sub>G</sub> advantageously corresponds to the maximum delay difference occurring between the individual propagation paths occurring during the radio transmission. By removing the added guard interval T<sub>G</sub> at the receiver end, a disturbance of the ith OFDM block by, for example, the adjacent OFDM signal in time at time i-1 is avoided, so that the transmit signal is received in interval [0, T'] over all indirect paths and the orthogonality between the subcarriers is retained to its full extent in the receiver. In the case of a large number of subcarriers, for example n = 256 subcarriers, and correspondingly long symbol periods  $T = T' + T_G$ , the period T<sub>G</sub> is small compared with T so that the insertion of the guard interval effectively does not significantly impair the bandwidth and only a small overhead is produced. After the transmit signal received at the input of the receiver is sampled in the baseband by an A/D converter, and after the useful interval has been extracted, i.e. after the guard interval T<sub>G</sub> has been eliminated, the received transmit signal is transformed into the frequency domain with the aid of a discrete Fourier transform (DFT); i.e., the received modulation symbols or, respectively, the received receive symbols are determined. From the receive symbols determined, the corresponding receive code words are generated via a suitable demodulation method, and from these the received serial data stream is formed by parallel/serial conversion. Avoiding intersymbol interference in OFDM transmission methods considerably reduces the computing effort in the respective receiver as a result of which the OFDM transmission technology is used, for example, for the terrestrial transmission of digital television signals; for example, the transmission of broadband data streams with a transmission rate of 34 Mbit/s per radio channel.

To transmit the serial data stream to be transmitted with the aid of the OFDM transmission method, absolute or, respectively, differential modulation methods and corresponding coherent or, respectively, incoherent demodulation

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methods are used. Although the orthogonality of the subcarriers is retained in its full extent by using the OFDM transmission method when transmitting the transmit signal formed via the "radio channel" transmission medium, both the phase and the amplitude of the transmitted discrete-frequency and frequency-selective transmit signals are changed by the transmission characteristics of the radio channel. The influence of the radio channel on amplitude and phase takes place subcarrierspecifically on the individual subcarriers which in each case have a very narrow bandwidth. In addition, noise signals are additively superimposed on the transmitted useful signal. When coherent demodulation methods are used, a channel estimation is required which depends on considerable technical and economic expenditure for its implementation depending on the quality requirements and which also reduces the performance of the transmission system. Advantageously, differential modulation methods and corresponding incoherent demodulation methods are used in which any elaborate radio channel estimation can be dispensed with. In the case of differential modulation methods, the information to be transmitted is not transmitted directly by selection of the modulation symbols or the discrete-frequency transmit symbols but by changing the discrete-frequency transmit symbols, which are adjacent in time, on the same subcarrier. Examples of differential modulation methods are the 64-level 64-DPSK (differential phase shift keying) and the 64-DAPSK (differential amplitude and phase shift keying) methods. In the 64-DAPSK, both the amplitude and simultaneously the phase are differentially modulated.

In the case of large delay differences between the individual signal paths, i.e. in the case of strong multipath propagation, different transmission-channel-related attenuations may occur between the individual received subcarriers with attenuation differences of up to 20 dB and more. The received subcarriers having high attenuation values or, respectively, the subcarriers having low S/N values (also called the signal power/noise power ratio) have a very large symbol error rate as a result of which the total bit error rate rises considerably over all subcarriers. In the case of subcarriers modulated with the aid of coherent modulation methods, it is

already known to correct the attenuation losses caused by the frequency-selective transmission characteristics of the transmission medium (also called the transfer function H(f)) with the aid of the inverse transfer function (also called 1/H(f)) at the receiving end. The frequency-selective attenuation losses are then determined, for example, by evaluating reference pilot tones transmitted and in each case are allocated to certain subcarriers. This method for equalizing the transmission channel at the receiving end, however, causes a great increase in noise in the subcarriers with low S/N values. The bit error rate caused by the increase in noise in subcarriers with low S/N values cannot even be improved by introducing channel coding so that the total transmission channel capacity of the frequency-selective transmission medium, which is possible over all subcarriers, is not achieved in spite of equalization of the transmission channel at the receiving end.

In known methods for improving the transmission quality in multicarrier systems as are known, for example, from the document "Comparison between adaptive OFDM and single carrier modulation with frequency domain equalization", A. Czylwik, IEEE Vehicular Technology Conference, USA, New York, vol. Conf. 47, 1997, pp. 865-869, XP000736731, ISBN: 0-77803-3660-7, the transfer function of the channel is estimated via information already transmitted. It is assumed here that the characteristics of the radio channel change only slowly in time. The estimated transfer function is transmitted back to the transmitter from the receiving station via signaling stations.

In a multicarrier method according to US 5 673 290, transmission parameters of a communication line are measured. The modulation method of each carrier is then adapted to the measured parameters.

The present invention is thus, directed toward achieving maximum utilization of the available transmission resources of the transmission medium during the transmission of information via a transmission medium having frequency-selective transmission characteristics. In particular, it is intended to achieve maximum utilization of the transmission resources of all multipath components or subcarriers when using a multicarrier method.

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#### SUMMARY OF THE INVENTION

In the method according to the present invention for transmitting information via a transmission medium having certain transmission characteristics with the aid of a multicarrier method, the information to be transmitted is transmitted by a transmit signal having a number of frequency-specific subcarriers to a second unit via the transmission medium. An important aspect of the method according to the present invention is that frequency-selective transmission characteristics of the transmission medium are determined in the first unit and then the frequency-specific subcarriers of the transmit signal are adapted to the frequency-selective transmission characteristics of the transmission medium which have been determined.

A key advantage of the method according to the present invention is that due to the channel equalization at the transmitting end and, respectively, adaptation of the frequency-specific subcarriers of the transmit signal to be sent out at the transmitting end to the frequency-selective transmission characteristics of the transmission medium which have been determined, all subcarriers of the transmit signal transmitted via the transmission medium have the same receive levels or, respectively, signal amplitude values. Thus the same signal power/noise power ratios S/N at the input of the second unit. In consequence, all subcarriers of the transmit signal can be modulated with the same number of modulation levels at the transmitting end so that maximum utilization of the transmission resources of the individual subcarriers of the transmit signal, and thus maximum utilization of the transmission resources of the transmission medium is achieved. Due to the fact that the subcarriers of the transmit signal are modulated with the same number of modulation levels, the expenditure for controlling the modulation and demodulation and, especially, the overhead in transmitting the modulation and demodulation control information, for example via a separate control channel of the transmission medium, is minimized. Advantageously, the frequency-selective channel equalization according to the present invention at the transmitting end prevents the

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increase in level of the noise signal duly caused in the case of channel equalization at the receiving end and associated with an increase in bit error probability.

According to an advantageous embodiment of the method according to the present invention, the frequency-selective transmission characteristics of the transmission medium are determined in the second unit and frequency-specific subcarriers of another transmit signal formed with the aid of a multicarrier method and transmitted from the second unit to the first unit are adapted to the frequency-selective transmission characteristics of the transmission medium which have been determined. By determining the frequency-selective transmission characteristics both in the first unit and in the second unit, the channel equalization of the transmit signal at the transmitting end can be advantageously implemented both in the downstream direction and in the upstream direction, as a result of which the utilization of the available transmission resources of the transmission medium arranged between the first unit and the second unit is further improved.

The frequency-selective transmission characteristics are advantageously determined with the aid of the transmit signal transmitted to the first unit and, respectively, second unit via the transmission medium, in which arrangement at least one subcarrier of the transmit signal is used for transmitting at least one pilot signal. Due to the transmission and evaluation of pilot signals at the receiving end, detection of the transmission characteristics of the transmission medium arranged between the first unit and the second unit can be achieved with little technical and economic expenditure. In particular, the transfer function H(f) of the transmission medium and, in particular, the absolute value of the transfer function |H(f)| can be determined in a particularly simple manner by evaluating received, frequency-selective pilot signals.

The at least one subcarrier of the transmit signal for transmitting the at least one pilot signal is advantageously modulated by a phase modulation method, wherein the pilot signal is a certain reference amplitude. Due to this advantageous embodiment, the subcarriers of the transmit signal utilized for the transmission of pilot signals are additionally used, at least partially, for transmission of useful

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information or, respectively, digital data streams. Thus, a further improvement in the utilization of the transmission resources of the transmission medium is achieved.

In the case of transmit signals having a large number of subcarriers, the transmission medium has virtually identical transmission parameters for adjacent subcarriers. According to a further advantageous embodiment of the method according to the present invention, the amplitude-specific and/or phase-specific transmission characteristics of adjacent subcarriers of the incoming transmit signal are averaged for determining the frequency-selective transmission characteristics of the transmission medium. Due to the advantageous averaging over the transmission characteristics of a number of subcarriers, arranged adjacently in the frequency domain, of the transmit signal which have been determined, the number of estimated values, thus the accuracy of the channel estimation at the transmitting end, is two-dimensionally increased without the spectral distance to adjacent subcarriers becoming too large.

In the case of fast time variations of the transmission media exhibiting transmission characteristics or, respectively, in the case of time-variant transmission media, time-selective, amplitude-specific and/or time-selective, phase-specific transmission characteristics of the transmission medium are determined according to a further advantageous embodiment of the method according to the present invention. Pursuant to such method, a number of frequency-selective, amplitude-specific and/or frequency-selective, phase-specific transmission characteristics, which are determined over a period of time, are stored in the respective unit and then the average over the stored frequency-selective, amplitude-specific and/or frequency-selective, phase-specific transmission characteristics is formed.

Following this, the frequency-specific subcarriers of the transmit signal are adapted to the transmission characteristics of the transmission medium which are averaged over time. Due to the averaging over a number of frequency-selective transmission characteristics of the transmission medium which have been determined successively in time, the first derivation of the time variations of the transmission

characteristics of the transmission medium is corrected during the detection of the transmission characteristics, which further improves the quality of the channel estimation at the transmitting end and the channel equalization at the transmitting end.

The frequency-selective transmission characteristics which have been determined are advantageously transmitted by the first unit to the second unit and the frequency-specific subcarriers of the further transmit signal are adapted to the transmitted transmission characteristics of the transmission medium in the second unit. Due to this advantageous variant of this embodiment, the transmission characteristics of the transmission medium arranged between the first unit and the second unit are only determined in one unit and the result of the determination is transmitted in parameterized form to the second unit as a result of which the expenditure for implementing the channel equalization at the transmitting end is kept low both in the first unit and in the second unit.

According to a further advantageous embodiment of the present invention, the signal power/noise power ratio S/N is determined for each subcarrier of the transmit signal in the determination of the frequency-selective transmission characteristics and the subcarriers are used for transmitting information (dsu, dsd) in dependence on the signal power/noise power ratio S/N determined in each case. In the case of a signal power/noise power ratio S/N measured below a limit value, the corresponding subcarrier is advantageously not used for transmitting information. Due to the deactivation of the subcarriers having, in each case, an inadequate signal power/noise power ratio S/N and thus not being usable for information transmission, the transmitting power of the remaining subcarriers used for information transmission can be correspondingly increased. Increasing the transmitting power of the subcarriers used for information transmission further reduces their bit error probability.

Additional features and advantages of the present invention are described in, and will be apparent from, the following Detailed Description of the Preferred Embodiments and the Drawings.

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#### **DESCRIPTION OF THE DRAWINGS**

Figure 1 shows a centralized transceiver unit implementing an OFDM transmission method; and

Figure 2 shows a decentralized transceiver unit which is connected to a centralized transceiver unit according to Figure 1 via the transmission medium "radio channel" and implements an OFDM transmission method.

#### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

Figures 1 and 2 in this case show a first and second transceiver unit SEE1,2 which can be, for example, modular components of transmitting and receiving systems implementing wireless communication networks. In the present exemplary embodiment, the first transceiver unit SEE1 shown in Figure 1 is arranged in a base station BS representing the center of a radio cell or of a radio area (not shown) and the second transceiver unit SSE2 shown in Figure 2 is arranged in a decentralized wireless network terminating unit RNT representing a wireless subscriber line unit. Figure 2 only shows a wireless network terminating unit RNT as representative of decentralized network terminating units allocated to the base station BS or, respectively, the radio cell. To each decentralized wireless network terminating unit RNT, at least one decentralized communication terminal (not shown) an be connected which can be constructed, for example, as multimedia communication terminal or as ISDN-oriented telephone terminal. The decentralized wireless network terminating units RNT and the decentralized communication terminals connected to them can be connected to a higher-level communication network connected to the base station BS for example an ISDN-oriented landline network or a broadband-oriented multimedia communication network (not shown), via the wireless transmission medium "radio channel".

The first transceiver unit SEE1 shown in Figure 1 has a data input ED to which a digital serial data stream dsd to be transmitted from the higher-level communication network to the decentralized wireless network terminating units RNT is conducted. The data input ED is connected to an input EO of an OFDM transmit unit SOB which is arranged in the first transceiver unit SEE1 and in which

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a method, already explained in the introduction to the description, for forming an OFDM signal sd having n subcarriers is implemented. The OFDM transmit unit SOB exhibits a modulator MOD which modulates the n subcarriers of the OFDM signal sd and which is connected via n outputs AM1...n and n link lines to n frequency-selective inputs EF1...n, associated with the n subcarriers of the OFDM signal sd, of a transformation unit IFFT for performing a discrete inverse fast Fourier transformation. The transformation unit IFFT is used for generating from the subcarrier-specific modulation symbols or, respectively, transmit symbols SS1...n conducted from a modulator MOD to the frequency-selective inputs EF1...n of the transformation unit IFFT a discrete-time OFDM signal. In the OFDM transmit unit SOB, other units (not shown) such as parallel/serial converters, digital/analog converters, filter units, and amplitude limiters, for converting the discrete-time OFDM signal into the analog OFDM signal sd, for example by adhering to spectrum masks defined for wireless communication networks or mobile radio systems and stipulated by ETSI standardization, are arranged. The OFDM transmit unit SOB is connected via an output AO to an input EH of a radiofrequency transmit unit HS which is connected via an output AH and via an antenna output AS of the first transceiver unit SEE1 to a transmit antenna SA arranged in the external area of the base station BS. The analog OFDM transmit signal sd is amplified by a transmit amplifier, not shown, arranged in the radio-frequency transmit unit HS, is mixed into the radio-frequency or RF band and subsequently transmitted via the transmit antenna SA and via the wireless transmission medium "radio channel" to the decentralized network terminating units RNT arranged in the radio cell of the base station BS (also called the downstream direction).

Furthermore, an OFDM receiving unit EOB is arranged in the first transceiver unit SEE1, which is connected via an input EO to an output AH of a radio-frequency receiving unit HE. The radio-frequency receiving unit HE has an input EH which is connected to a receiving antenna EA arranged in the external area of the base station BS, via an antenna input ES of the first transceiver unit SEE1. An OFDM signal su transmitted by a decentralized network terminating unit

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RNT to the base station BS and received at the receiving antenna EA of the base station BS is down converted to the intermediate-frequency band or, respectively, the baseband by a conversion device (not shown) arranged in the radio-frequency receiving unit HE and then forwarded to the input EO of the OFDM receiving unit EOB.

In the OFDM receiving unit EOB, a transformation unit FFT for implementing a discrete fast Fourier transform and having a number of frequencyselective outputs AF1...n is arranged, each frequency-selective output AF1...n being associated with one subcarrier of the received OFDM signal. After previous discretization and digitization with the aid of an analog/digital converter (not shown), the OFDM signal su received and down converted into the intermediate-frequency band or baseband, respectively, is transformed into the frequency domain with the aid of the fast Fourier transform implemented by the transformation unit FFT; i.e., the modulation symbols or receive symbols es1...n of the respective subcarriers contained in the OFDM signal are determined and then forwarded to the corresponding frequency-selective outputs AF1..n of the transformation unit FFT. The outputs AF1...n of the transformation unit FFT are connected to n inputs EM1..n of a demodulator DMOD via n link lines. From the receive symbols es1...n forwarded to the demodulator DMOD from the transformation unit FFT, the corresponding receive code words transmitted via the respective subcarriers are determined with the aid of a demodulation method implemented in the demodulator DMOD. The receive code words which have been determined are then converted with the aid of a parallel/serial converter (not shown), associated with the OFDM receiving unit EOB, into a serial digital data stream deu which is forwarded, for example, to the higher-level communication network via a data output AD of the first transceiver unit SEE1.

The second transceiver unit SEE2, arranged in the decentralized wireless network terminating unit RNT according to Figure 2, has an OFDM receiving unit EON which is connected via an input EO to an output AH of a radio-frequency receiving unit HE arranged in the second transceiver unit SEE2. The radio-frequency receiving unit HE is connected via an input EH to a

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receiving antenna EA arranged in the external area of the network terminating unit RNT. The OFDM signal sd transmitted by the base station BS to the network terminating unit RNT and received at the receiving antenna EA is down converted into the intermediate-frequency band or, respectively, the baseband by a conversion device (not shown), arranged in the radio-frequency receiving unit HE, and then forwarded to the input EO of the OFDM receiving unit EON. In the OFDM receiving unit EON, a transformation unit FFT for implementing a discrete fast Fourier transform and exhibiting a number of frequency-selective outputs AF1...n is arranged, each frequency-selective output AF1...n being associated with one subcarrier of the received OFDM signal sd. Using the fast Fourier transform implemented by the transformation unit FFT, the OFDM signal sd received and down converted into the intermediate-frequency band or baseband, respectively, is transformed into the frequency domain after previous discretization and digitization with the aid of an analog/digital converter (not shown); i.e., the modulation symbols or receive symbols es1...n of the respective subcarriers contained in the received OFDM signal sd are determined and then forwarded to the corresponding frequency-selective outputs AF1...n of the transformation unit FFT. The n outputs AF1...n of the transformation unit FFT are connected via n link lines to n inputs EK1...n of a channel estimation unit KS which is connected to corresponding frequency-selective inputs EM1...n of a demodulator DMOD arranged in the OFDM receiving unit EON via n outputs AK1...n and n link lines. The frequencyselective receive symbols es1..n transmitted by the transformation unit FFT to the channel estimation unit KS are forwarded to the inputs EM1...n of the demodulator DMOD. In the channel estimation unit KS, a first evaluating device UF is arranged via which the frequency-selective amplitude-specific transmission channel characteristics of the transmission medium "radio channel" are determined from the receive symbols es1...n conducted to the channel estimation unit KS; i.e., the frequency-selective amplitude distortions (also called amplitude response or absolute value of the transfer function of the radio channel |H(f)|) caused by the transmission medium "radio channel" are determined for each subcarrier.

Furthermore, the S/N ratio is determined for each subcarrier from the incoming receive symbols es1...n via a further evaluating device SN arranged in the channel estimation unit KS. From the frequency-selective amplitude response |H(f)| determined and the frequency-selective S/N ratio determined, an information signal is transmitting the results of the determination is generated by a signal generating device (not shown), arranged in the channel estimation unit KS, which information signal is forwarded to a control output SA of the OFDM receiving unit EON via an output ASK of the channel estimation unit KS.

The frequency-selective receive symbols es1...n forwarded to the demodulator DMOD from the channel estimation unit KS are converted into the receive code words transmitted via the respective subcarriers by a demodulation method implemented in the demodulator DMOD. From the receive code words determined, a serial/digital data stream ded is then formed with the aid of a parallel/serial converter (not shown), which is associated with the OFDM receiving unit EON, which data stream is conducted to a data output AS of the second transceiver unit SEE2 via an output AO of the OFDM receiving unit EON and is then transmitted, for example, to a decentralized destination communication terminal, not shown, which is connected to the decentralized network terminating unit RNT.

The control output SA of the second transceiver unit SEE2 arranged in the decentralized network terminating unit RNT is connected via a link line VL to a control input SE of an OFDM transmit unit SON arranged in the second transceiver unit SEE2, in which transmit unit a method for forming an OFDM signal su to be transmitted in the upstream direction and having n subcarriers is implemented. The OFDM transmit unit SON is connected via an input EO to a data input ES of the second transceiver unit SEE2 to which, for example, a digital serial data stream dsu to be transmitted from a decentralized communication terminal via the wireless transmission medium "radio channel" to the higher-level communication network is conducted. The digital serial data stream dsu is divided into n parallel sub-data streams, or converted in parallel form, respectively, by a serial/parallel converter

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(not shown), which is associated with the OFDM transmit unit SON, each of the n sub-data streams being allocated to one of the n subcarriers of the OFDM signal. The n parallel sub-data streams are conducted to a modulator MOD arranged in the OFDM transmit unit SON and modulating the n subcarriers of the OFDM signal os, the incoming n sub-data streams being converted into n frequency-selective modulation symbols or transmit symbols ss1...n associated with the n subcarriers of the OFDM signal by a modulation method implemented in the modulator MOD. The n frequency-selective transmit symbols ss1...n formed are forwarded to n outputs AK1...n of the modulator MOD which is connected to n frequency-selective inputs EE1...n of a channel equalizer unit EZ, which are associated with the n subcarriers of the OFDM signal su. The channel equalizer unit EZ has a control input ESS which is connected to the control input SE of the OFDM transmit unit SON and is thus connected to the output ASK of the channel estimation unit KS arranged in the OFDM receiving unit EON via the link line VL.

The channel equalizer unit EZ has capabilities for adapting the transmit symbols ss1...n formed by the modulator MOD and forwarded to the channel equalizer unit EZ to the frequency-selective amplitude-specific transmission channel characteristics of the transmission medium "radio channel" determined in the OFDM receiving unit EON (also called "equalization" of the amplitude response" or "amplitude equalization"); i.e., the amplitudes of the frequencyselective transmit symbols ss1...n are corrected in dependence on the information signal transmitted to the control input ESS. For example, the frequency-selective transmit symbols ss1...n are multiplied by the inverse of the absolute value of the transfer function of the radio channel determined, in this case 1/|H(f)|. The n corrected frequency-selective transmit symbols ss'1...n are forward to n outputs AZ1...n of the channel equalizer unit EZ which are connected to corresponding n frequency-selective inputs EF1...n, allocated to the n subcarriers of the OFDM signal, of a transformation unit IFFT for performing a discrete inverse fast Fourier transformation. Using the transformation unit IFFT, a discrete-time OFDM signal is calculated from the subcarrier-specific and corrected transmit symbols ss'1...n

forwarded from the channel equalizer unit EZ to the frequency-selective inputs EF1...n of the transformation unit IFFT. In the OFDM transmit unit SON, further units (not shown), such as parallel/serial converters, digital/analog converters, filter units, and amplitude limiters, for converting the discrete-time OFDM signal into an analog OFDM transmit signal su, for example by adhering to the aforementioned ETSI spectrum masks, are arranged. The OFDM transmit unit SON is connected via an output AO to an input EH of a radio-frequency transmit unit HS which is connected to a transmit antenna SA arranged in the external area of the decentralized network terminating unit RNT via an output AH and via an antenna output AS of the second transceiver unit SEE2. The analog OFDM transmit signal su is amplified by a transmit amplifier, not shown, which is arranged in the radio-frequency transmit unit HF, is converted into the radio-frequency band or RF band and then transmitted to the base station BS via the transmit antenna SA and via the wireless transmission medium "radio channel" in the upstream direction.

It should be noted that the exemplary embodiment described only represents a functional description of the method according to the present invention; i.e., the embodiment of the first and second transceiver unit SEE1,2 described in the exemplary embodiment can also be implemented by alternative variants of the embodiment. For example, the radio-frequency transmitting unit and receiving unit HS, HE arranged in each case in a transceiver unit SEE1,2 can be replaced by a radio-frequency converter unit (not shown), where the respective transmitting and receiving paths are separated via a switch, not shown.

In the text which follows, the method according to the present invention for maximum utilization of the transmission resources provided by the wireless transmission medium "radio channel" is explained in greater detail.

The radio-frequency transmitting and receiving units HS, HE arranged in the first and second transceiver unit SEE1,2 are designed in such a manner that OFDM signals sd, su transmitted in the downstream and upstream direction are transmitted in the TDD (time division duplex) transmission method. In the TDD transmission method, the information to be transmitted between the base station BS

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and the wireless decentralized network terminating units RNT are alternately transmitted with the aid of signal bursts of a particular extent in time which are sent out in the same frequency range. In this method, the transceiver units SEE1,2 arranged in the network terminating units RNT and in the base station BS are alternately switched to transmit and receive mode. When the TDD transmission method is used, the wireless transmission medium "radio channel" exhibits reciprocal characteristics. That is, the OFDM signal sd sent out in bursts in the downstream direction by the base station BS and received by a decentralized network terminating unit RNT, it is possible to determine or, respectively, estimate the frequency-selective amplitude-specific and/or phase-specific transmission channel characteristics of the transmission medium "radio channel" for the OFDM signal su to be transmitted in the upstream direction by the decentralized network terminating unit RNT.

According to a first variant of the embodiment of the method according to the present invention, a differential phase modulation method (differential phase shift keying, for example a 64 DPSK), is implemented in the modulator MOD arranged in the OFDM transmit unit SOB of the first transceiver unit SEE1. When a differential modulation method is used, no carrier recovery of the received OFDM signal sd and no precise recovery of the bit clock is required in the subsequent demodulation in the corresponding OFDM receiving unit EON or, respectively, the demodulator DMOD arranged therein. To provide for a determination of the frequency-selective transmission characteristics of the transmission medium "radio channel", also called channel estimation in the text which follows, at the receiving end, the modulator MOD arranged in the base station BS is designed in such a manner that a particular number of the transmit symbols ss1...n present at the n outputs AM1...n of the modulator MOD are designed as pilot symbols with defined reference amplitude; i.e., some of the subcarriers of the OFDM signal sd to be transmitted in the downstream direction are used for transmitting in each case a pilot tone or pilot signal having a defined reference amplitude. For example, 10% of

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the subcarriers of the OFDM signal sd, which can be used for information transmission, are used for transmitting pilot tones.

From the OFDM signal received at the receiving antenna EA of the network terminating unit RNT, the transmitted receive symbols es1...n of the respective subcarriers of the received OFDM signal sd are determined by the transformation unit FFT arranged in the OFDM receiving unit EON and forwarded to the channel estimation unit KS.

From the receive symbols es1...n present at the inputs EK1...n and designed as pilot symbols, the frequency-selective, amplitude-specific transmission characteristics or frequency-selective attenuation characteristics of the transmission medium "radio channel" FK arranged between the base station BS and the decentralized wireless network terminating unit RNT are determined. That is, the amplitude response or absolute value of the transmission function |H(f)| of the transmission medium "radio channel" FK is determined by the first evaluating device HF arranged in the channel estimation unit KS. With the aid of the information signal is, the transmission characteristics of the transmission medium "radio channel" FK which have been determined are then transmitted to the control input SE of the OFDM transmitting unit SON arranged in the decentralized network terminating unit RNT via the link line VL. Furthermore, the receive symbols es1...n forwarded from the channel estimation unit KS to the n inputs EM1...n of the demodulator DMOD are converted in the OFDM receiving unit EON, with the aid of the differential or, respectively, incoherent demodulation method implemented in the demodulator DMOD, into the receive code words transmitted via the respective subcarriers of the OFDM signal sd, from which code words the serial digital data stream ded conducted to the output AS of the second transceiver unit SEE2 is formed.

According to the present invention, the OFDM signal to be transmitted to the base station BS in the upstream direction is generated depending on the transmission channel characteristics of the transmission medium "radio channel" determined by the OFDM receiving unit EON and forwarded to the OFDM

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transmitting unit SON. For this purpose, the digital serial data stream dsu received at the input EO of the OFDM transmitting unit SON arranged in the second transceiver unit SEE2 and to be transmitted to the base station BS is converted into parallel form and converted into the transmit symbols ss1...n associated with the n subcarriers of the OFDM signal, with the aid of the modulator MOD. The transmit symbols ss1...n formed are forwarded to the n inputs EE1...n of the channel equalizer unit EZ and adapted to the frequency-selective amplitude-specific transmission channel characteristics of the transmission medium "radio channel" FK, which have been determined by the correction device 1/HF arranged in the equalizer unit (also called amplitude equalization at the transmitting end). The amplitude equalization at the transmitting end implemented by the correction device 1/HF takes place in such a manner that the transmit symbols ss1...n of the individual subcarriers of the OFDM signal su are multiplied by a factor representing the absolute value of the inverse of the transfer function H<sub>p</sub>(f) determined. In this case,  $1/|H_n(f)|$  for  $0 \le n \le N-1$ , wherein n represents the length of the Fourier transform implemented in the transformation unit IFFT and H<sub>n</sub>(f) represents the transfer function of the nth subcarrier of the OFDM signal.

The frequency-selective amplitude equalization according to the present invention, at the transmitting end, which has been described, has the effect that all subcarriers of the OFDM signal su transmitted to the base station BS from the decentralized network terminating unit RNT in the upstream direction have the same receive levels or signal amplitude values when they arrive at the receiving antenna EA of the base station BS. Since all subcarriers of the OFDM signal su received in the base station BS have the same receive level, the signal power/noise power ratio S/N is identical for all subcarriers. Thus, all subcarriers can be modulated with the same number of modulation levels at the transmitting end; i.e., with the aid of the OFDM transmitting unit SON arranged in the decentralized network terminating unit RNT or, respectively, with the aid of the modulator MOD arranged there. This achieves maximum utilization of the transmission resources of the individual subcarriers of the OFDM signal su. For example, if the decentralized

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network terminating units RNT are arranged close to the base station BS, the individual subcarriers of the OFDM signal su to be transmitted to the base station BS in the upstream direction can be modulated with the aid of the 64-QAM (quadrature amplitude modulation). As the distance between the decentralized network terminating unit RNT and the base station BS increases, i.e. with increasing attenuation characteristics of the transmission medium "radio channel" FK, the number of modulation levels is reduced. Due to the identical S/N ratio of the subcarrier of the OFDM signal su received in the base station BS, no subcarrierindividual number of modulation levels is required for controlling the demodulation of the received OFDM signal so that the control effort for modulating and demodulating the OFDM signal su is advantageously minimum. By avoiding the requirement of subcarrier-individual number of modulation levels, no additional overhead is generated for transmitting additional control information controlling the subcarrier-individual modulation and demodulation, thus preventing the transmission capacity of the transmission medium "radio channel" from being reduced.

As an alternative, the transmission power of the OFDM signal su to be sent out can be correspondingly reduced instead of increasing the number of modulation levels of the OFDM signal su to be sent in the upstream direction. The transmission power can be lowered, for example, in the radio-frequency transmit unit HS of the decentralized network terminating unit RNT. Lowering the transmission power minimizes the mutual interference of the subcarriers of OFDM signals sd, su sent within a radio cell, also called intercell interference (ICI) and, as a result, the transmission capacity of the total system arranged within a radio cell is increased.

According to a further advantageous variant of the embodiment of the method according to the present invention, the channel estimation unit KS of the OFDM receiving unit EON arranged in the decentralized network terminating unit RNT has a further evaluating device SN for detecting the subcarrier-individual S/N ratios of the respective subcarriers of the received OFDM signal sd. The subcarrier-individual S/N ratios detected in each case with the aid of the further evaluating

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device S/N are additionally transmitted, in addition to the detected amplitudespecific transmission characteristics H(f) of the transmission medium FK with the aid of the information signal is via the link line VL to the OFDM transmitting unit SON arranged in the decentralized network terminating unit RNT or, respectively, to the channel equalizer unit EZ arranged there.

In the channel equalizer unit EZ <u>a</u> further correction device, (not shown here), is arranged via which the subcarriers having unfavorable S/N ratios or the subcarriers having an S/N ratio which is measured below a limit value, are deactivated in dependence on the S/N ratios transmitted to the control input ESS, and thus are not used for information transmission. For example, in the case of decentralized network terminating units RNT which are at a large distance from the base station BS, only every second or fourth subcarrier of the OFDM signal su to be sent to the base station BS is used for information transmission, the transmission power of the subcarriers used for information transmission being correspondingly increased. Increasing the transmission power of the subcarriers used for information transmission further reduces the bit error probability. Deactivated subcarriers of the received OFDM signal can be detected by simple amplitude calculation in the OFDM receiving unit EON, EOB.

Since the determination of the frequency-selective amplitude-specific transmission characteristics of the transmission medium "radio channel" FK, implemented in the decentralized network terminating unit RNT at the transmitting end, only requires the evaluation of the amplitude value of the pilot symbols or pilot tones transmitted from the base station BS to the decentralized network terminating unit RNT by the channel estimation unit KS arranged in the decentralized network terminating unit RNT, the phase information of the pilot symbols or pilot tones of the OFDM signals sd, sent from the base station BS to the decentralized network terminating unit RNT, can be additionally used for transmitting the digital information dsd. The subcarriers of the OFDM signal sd which transmits pilot symbols or pilot tones can be modulated, for example, with the aid of an absolute or differential phase modulation method with defined

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reference amplitude as a result of which an advantageous utilization of the transmission capacity of the transmission medium "radio channel" is achieved.

The OFDM transmission units SOB, SON arranged in the base station BS or decentralized network terminating unit RNT or, respectively, the modulators MOD arranged there, are designed in such a manner that the subcarriers of the OFDM signals sd, su which are not used for the transmission of pilot tones are modulated with a coherent or absolute modulation method, for example an m-level QAM, since m-level QAM modulation methods can also be used in transmission media with unfavorable S/N ratios.

When coherent m-level modulation methods are used, additional methods (not shown) for the channel estimation or channel equalization at the receiving end, required according to the prior art, especially for phase equalization of the subcarriers received in each case of the received OFDM signal sd, su are required in the corresponding OFDM receiving units EON, EOB arranged in the base station BS and, respectively, the decentralized network terminating unit RNT. To provide for correction of the phase angles of the incoming subcarriers in the OFDM receiving unit EON, EOB, the first subcarrier of the OFDM signal sd, su is transmitted with a defined phase, e.g.  $\varphi = 0$  degrees, by the OFDM transmitting unit SOB, SON. The phase of the first subcarrier is rotated by, for example,  $\Delta \varphi$  by the transmission medium "radio channel" FK. The second subcarrier arranged closely adjacently to the first subcarrier is also rotated by  $\Delta \varphi$  in this process. To restore the original phase angles of the transmitted OFDM signal sd, su, the second subcarrier must be multiplied by the complex factor  $e^{-j\Delta\phi}$  by the correction device arranged in the OFDM receiving unit EON, EOB. The phase shift  $\Delta \varphi$  of the first subcarrier caused by the transmission medium "radio channel" FK can be detected, and the phase angle of the adjacent second subcarrier of the received OFDM signal can be correspondingly corrected with the aid of the correction device due to the pilot tone with defined transmitting phase transmitted via the first subcarrier. After the correction of the phase angle or phase equalization at the receiving end, the information transmitted via the second subcarrier is decided with the aid of the

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demodulator. The phase shift of the second subcarrier is determined in dependence on the result of the decision. The phase angle of the third subcarrier is then corrected in the manner described via the phase shift of the second subcarrier determined, etc.

According to a further advantageous embodiment of the method according to the present invention, the OFDM receiving unit EOB arranged in the base station BS also has a channel estimation unit KS, not shown, via which the receive symbols es1...n transmitted with the aid of the received OFDM signal su are evaluated and, from this, the frequency-selective, amplitude-specific radio channel characteristics of the transmission medium "radio channel" FK are evaluated in the manner described and are transmitted via a link line (not shown), to a further channel equalizer unit (not shown), which is arranged in the OFDM transmitting unit SOB of the base station BS. Due to this advantageous embodiment, the OFDM signals sd to be transmitted to the decentralized network terminating units RNT from the base station BS in the downstream direction, and the subcarriers contained therein, can also be adapted to the transmission characteristics of the transmission medium "radio channel". The equalization of the amplitude response at the transmitting end achieved in this manner, both in the downstream direction and in the upstream direction, further improves the utilization of the transmission capacity of the transmission medium "radio channel" FK. However, this presupposes that some of the subcarriers of the OFDM signal su to be transmitted from the decentralized network terminating unit RNT to the base station BS are used for transmitting pilot symbols or pilot tones as already described. The modulator MOD arranged in the OFDM transmitting unit SON of the decentralized network terminating unit RNT is advantageously designed in such a manner that the subcarriers of the OFDM signal su which are used for the transmission of pilot symbols are modulated with the aid of a phase modulation method, for example a QPSK modulation method, with defined reference transmit amplitude. By using phase modulation, the pilot symbols or pilot tones transmitted in the upstream direction are also at least partially used for transmitting the digital data stream dsu.

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To increase the accuracy of channel estimation in the decentralized network terminating unit RNT and possibly in the base station BS, the subcarriers of an OFDM signal sd, su which, in each case, transmit pilot tones or pilot symbols can be transmitted with increased power.

According to a further variant of the embodiment, the channel estimation at the transmitting end is only performed by the channel estimation unit KS arranged in the decentralized network terminating unit RNT and then the frequency-selective, amplitude-specific transmission characteristics of the transmission medium "radio channel" which have been determined are transmitted in parameterized form to the base station BS or, respectively, the OFDM transmitting unit SOB arranged there. The equalization of the amplitude response of the subcarriers of the OFDM signal sd to be transmitted in the downstream direction from the base station BS is carried out by a channel equalizer unit (not shown), which is arranged in the OFDM transmitting unit SOB of the base station BS, with the aid of the parameterized transmission characteristics transmitted.

Advantageously, only the changes of the transmission characteristics with time are transmitted to the base station BS and thus the overhead during the transmission of the transmission characteristics is minimized.

In the case of OFDM signals sd, su, having a large number of subcarriers, the transmission medium "radio channel" FK has virtually identical transmission characteristics for adjacent subcarriers. Advantageously, in addition to the directly adjacent subcarriers, the adjoining subcarriers in the frequency range are also taken into consideration for the determination of the frequency-selective transmission characteristics of the transmission medium or the channel estimation at the transmitting end performed in an OFDM receiving unit EON, EOB; i.e., an average is formed over determined transmission characteristics of a number of subcarriers arranged adjacently in the frequency range. The averaging has the advantage that the number of estimated values, and thus the accuracy of the channel estimation at the transmitting end is two-dimensionally increased without the spectral distance from adjacent subcarriers becoming too great.

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According to a further advantageous embodiment of the method according to the present invention, in the case of radio channels exhibiting a fast change with time (also called time-variant transmission channels or radio channels), the OFDM signals following in time; i.e., those received at the receiving antenna EA within a certain period of time or, respectively, the receive symbols es1...n contained therein, are also taken into consideration in the channel estimation implemented in the channel estimation unit KS. Implementation of this variant of the embodiment requires the storing of the receive symbols es1...n received successively in time or storing of the frequency-selective transmission characteristics determined in each case, in a memory, not shown, which is arranged in the first or second transceiver unit SEE1, 2. Averaging over a number of receive symbols es1...n in each case belonging to a subcarrier and received successively in time, within the channel estimation at the transmitting end performed in the channel estimation unit KS corrects the first generation of the changes with time of the transmission characteristics of the transmission medium "radio channel" FK during the detection of the transmission characteristics. Advantageously, the subcarriers arranged symmetrically about the current subcarrier in the frequency domain or, respectively, the receive symbols es1...n transmitted via this subcarrier, are taken into consideration during the averaging. As an alternative, the averaging can also be done in the channel equalizer unit EZ of the OFDM transmitting unit SON.

The determination of the frequency-selective, amplitude-specific transmission characteristics of the transmission medium "radio channel" FK, performed with the aid of the evaluating device H(f) in the channel estimation unit KS, also called calculation of the estimated amplitude values, is relatively complex. The amplitude values of all received receive symbols es1...n of an OFDM signal sd are calculated following the calculation rule

$$\sqrt{I^2 + Q^2} = Amplitude$$

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where I is the imaginary part and Q is the real part of a received complex receive signal es1...n. The respective frequency-selective estimated amplitude values can be calculated serially, at least partially, so that the technical complexity or hardware complexity for calculating the estimated amplitude values is kept low.

According to an advantageous embodiment, the estimated amplitude values are calculated from the frequency-selective receive symbols es1...n received in each case, with the aid of values stored in a table called look-up table. For this purpose, the receive values of the imaginary part I and of the real part Q of a receive symbol es1...n, which are in each case possible, are combined to form a table address and are stored in the look-up table. Furthermore, to each stored table address, the associated correction factor,  $1/|H_n(f)|$  in this case, is allocated and stored in the corresponding table entry. The correction factors allocated to the respective table addresses represent the values by which the respective transmit symbols ss1...n of the OFDM signal sd, su to be sent out are multiplied. The extent or, respectively, number of entries of the look-up table is advantageously kept small if it is restricted to one quadrant of the complex plane, transmit symbols ss1...n having negative imaginary and real part values being inverted before the amplitude equalization at the transmitting end.

According to a further advantageous embodiment, the multiplication of the subcarriers or, respectively, of the transmit symbols ss1...n to be transmitted via the subcarriers, by the correction factor determined,  $1/|H_n(f)|$  in this case, is implemented by an addition or, respectively, subtraction with values also stored in a look-up table. This advantageous embodiment further reduces the computing effort for correcting the transmit symbols during the amplitude equalization.

Although the present invention has been described with reference to specific embodiments, those of skill in the art will recognize that changes may be made thereto without departing from the spirit and scope of the invention as set forth in the hereafter appended claims.

# **ABSTRACT OF THE DISCLOSURE**

To transmit information with the aid of a transmit signal exhibiting a number of frequency-specific subcarriers from a first unit to a second unit via a transmission medium, the frequency-selective transmission characteristics of the transmission medium are determined in the first unit and then the subcarriers of the transmit signal are adapted to the transmission characteristics determined. All subcarriers of the transmit signal can be advantageously modulated with the same number of modulation levels as a result of which maximum utilization of the transmission resources of the transmission medium is achieved.

#### In the claims:

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On page 30, cancel line 1, and substitute the following left-hand justified heading therefor:

#### I Claim as My Invention:

Please cancel claims 1-22, without prejudice, and substitute the following claims therefor:

23. A method for transmitting information via a transmission medium having particular transmission characteristics, with the aid of a multicarrier method, from a first unit to a second unit, the method comprising the steps of:

using a first transmit signal to transmit the information, the first transmit signal exhibiting a plurality of frequency-specific subcarriers;

determining, in the first unit, frequency-selective transmission characteristics of the transmission medium using a second transmission signal sent out by the second unit, the second transmission signal exhibiting at least one frequency-specific subcarrier; and

adapting, in the first unit, the plurality of frequency-specific subcarriers of the first transmit signal to the frequency-selective transmission characteristics of the transmission medium which have been determined.

24. A method for transmitting information as claimed in claim 23, the method further comprising the steps of:

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determining, in the second unit, the frequency-selective transmission characteristics of the transmission medium;

adapting, in the second unit, to the frequency-selective transmission characteristics of the transmission medium which have been determined, a plurality of frequency-specific subcarriers of the second transmit signal formed with the aid of a multicarrier method and transmitted from the second unit to the first unit.

25. A method for transmitting information as claimed in claim 24, further comprising the step of:

determining at least one of frequency-selective amplitude-specific transmission characteristics and frequency-selective phase-specific transmission characteristics of the transmission medium as the transmission characteristics.

26. A method for transmitting information as claimed in claim 25, the method further comprising the step of:

determining a transfer function of the transmission medium during the step of determining the frequency-selective transmission characteristics of the transmission medium.

20 27. A method for transmitting information as claimed in claim 26, the method further comprising the step of:

representing the frequency-selective amplitude-specific transmission characteristics of the transmission medium by an absolute value of the transfer function which has been determined.

28. A method for transmitting information as claimed in claim 23, the method further comprising the steps of:

determining the frequency-selective transmission characteristics using both the first and second transmit signals; and

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utilizing at least one subcarrier of one of the first and second transmit signals for transmitting at least one pilot signal.

29. A method for transmitting information as claimed in claim 28, the
5 method further comprising the step of:

modulating the at least one subcarrier using a phase modulation method for transmitting the at least one pilot signal, wherein the pilot signal exhibits a particular reference amplitude.

30. A method for transmitting information as claimed in claim 25, the method further comprising the step of:

averaging at least one of the frequency-selective amplitude-specific transmission characteristics and the frequency-selective phase-specific transmission characteristics of adjacent subcarriers of one of the first and second transmit signals for determining the frequency-selective transmission characteristics of the transmission medium.

31. A method for transmitting information as claimed in claim 25, the method further comprising the steps of:

determining at least one of time-selective amplitude-specific transmission characteristics and time-selective phase-specific transmission characteristics of the transmission medium;

storing a plurality of the frequency-selective amplitude-specific transmission characteristics and frequency-selective phase-specific transmission characteristics, determined over a period of time, in the respective one of the first and second units;

forming, in each case, an average value of the at least one of the stored frequency-selective amplitude-specific transmission characteristics and the frequency-selective phase-specific transmission characteristics; and

adapting the subcarriers of the respective one of the first and second transmit signals to be transmitted to the transmission characteristics of the transmission medium which are averaged over time.

32. A method for transmitting information as claimed in claim 25, the method further comprising the steps of:

transmitting the determined frequency-selective transmission characteristics from the first unit to the second unit; and

adapting the frequency-specific subcarriers of the second transmit signal to the transmission characteristics of the transmission medium in the second unit.

33. A method for transmitting information as claimed in claim 32, wherein only changes with time of the transmission characteristics are transmitted by the first unit to the second.

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34. A method for transmitting information as claimed in claim 27, the method further comprising the step of:

multiplying subcarriers of the first and second transmit signals by one of an inverse of the determined transfer function and an inverse of the absolute value of the determined transfer function in the adaptation of the first and second transmit signals to the transmission characteristics of the transmission medium.

- 35. A method for transmitting information as claimed in claim 23, wherein the first and second transmit signals transmitted between the first and second units are transmitted in a time division duplex transmission method.
- 36. A method for transmitting information as claimed in claim 24, the method further comprising the steps of:

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determining, in the determination of the frequency-selective transmission characteristics, a signal power/noise power ratio for each subcarrier of each of the first and second transmit signals; and

utilizing the respective subcarrier of each of the first and second transmit signals for the transmission of information depending on the respective signal power/noise power ratio determined in each case.

- 37. A method for transmitting information as claimed in claim 36, wherein, with a signal power/noise power ratio measured below a limit value, the corresponding subcarrier is not utilized for transmitting information.
- 38. A method for transmitting information as claimed in claim 37, the method further comprising the step of:

modulating all subcarriers of the first and second transmit signals which are not utilized for transmitting pilot signals by a same number of modulation levels, wherein the number of modulation levels is determined by a noise power/useful power ratio determined for the transmission medium.

- 39. A method for transmitting information as claimed in claim 23, wherein the multicarrier method is implemented by one of an orthogonal frequency division multiplex transmission method and a transmission method based on discrete multitones.
- 40. A method for transmitting information as claimed in claim 23, wherein the transmission medium is one of a wireless radio channel and a line-connected transmission channel.
  - 41. A method for transmitting information as claimed in claim 40, wherein the information is transmitted via power supply lines.

42. A communication system for transmitting information, comprising: a first unit;

a second unit; and

a transmission medium having particular transmission characteristics, the transmission medium connecting the first and second units for the transmission of information between the first and second units;

wherein the first unit includes a converter for converting, using a multicarrier method, the information to be transmitted into a first transmit signal having a plurality of frequency-specific subcarriers, a transmitter for transmitting the transmit signal via the transmission medium to the second unit, an evaluator for determining frequency-selective transmission characteristics of the transmission medium, and an adapter for adapting the frequency-specific subcarriers of the transmit signal to the frequency-selective transmission characteristics of the transmission medium.

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43. A communication system as claimed in claim 42, wherein the second unit further includes a converter for converting, using a multicarrier method, the information to be transmitted into a second transmit signal exhibiting a plurality of frequency-specific subcarriers, an evaluator for determining the frequency-selective transmission characteristics of the transmission medium, an adapter for adapting the frequency-specific subcarriers of the second transmit signal to the frequency-selective transmission characteristics of the transmission medium which have been determined, and a transmitter for transmitting the second transmit signal via the transmission medium to the first unit.

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44. A communication system as claimed in claim 42, wherein the evaluator is designed such that at least one of frequency-selective amplitude-specific transmission characteristics and frequency-selective phase-specific transmission characteristics of the transmission medium are determined as the transmission characteristics.

## REMARKS

The present amendment makes editorial changes and corrects typographical errors in the specification, which includes the Abstract, in order to conform the specification to the requirements of United States Patent Practice. No new matter is added thereby. Attached hereto is a marked-up version of the changes made to the specification by the present amendment. The attached page is captioned "Version With Markings To Show Changes Made".

In addition, the present amendment cancels original claims 1-22 in favor of new claims 23-44. Claims 23-44 have been presented solely because the revisions by red-lining and underlining which would have been necessary in claims 1-22 in order to present those claims in accordance with preferred United States Patent Practice would have been too extensive, and thus would have been too burdensome. The present amendment is intended for clarification purposes only and not for substantial reasons related to patentability pursuant to 35 USC §§103, 102, 103 or 112. Indeed, the cancellation of claims 1-22 does not constitute an intent on the part of the Applicants to surrender any of the subject matter of claims 1-22.

(Reg. No. 39,056)

Early consideration on the merits is respectfully requested.

Respectfully submitted,

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### VERSIONS WITH MARKINGS TO SHOW CHANGES MADE

## In The Specification:

The Specification of the present application, including the Abstract, has been amended as follows:

### SPECIFICATION

# TITLE

5 Method and communication arrangement for transmitting information with the aid of a multicarrier method

# METHOD AND COMMUNICATION SYSTEM FOR TRANSMITTING INFORMATION WITH THE AID OF A MULTICARRIER METHOD BACKGROUND OF THE INVENTION

10 Description

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### Field of the Invention

The present relates, generally, to a method and communication system for transmitting information with the aid of a multicarrier method and, more specifically, to such a method and system wherein maximum utilization of available transmission resources of a transmission medium is achieved during the transmission of information via the transmission medium which has frequency-selective transmission characteristics.

### **Description of the Prior Art**

In wireless communication networks based on radio channels, especially in point-to-multipoint radio feeder networks (also called "radio in the local loop" or, respectively, "RLL"), a number of network terminating units are, in each case, connected to a base station (also called "radio base station" or, respectively "RBS") via one or more radio channels. In telcom report No. 18 (1995), vol. 1 "Drahtlos zum Freizeichen" [Wireless to the ringing tone] page 36, 37, for example, a wireless feeder network for the wireless speech and data communication is described. The communication system described represents an RLL subscriber line in combination with a modern broadband infrastructure, e.g. "fiber to the curb", which can be implemented within a short time and without great expenditure

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instead of running wire-connected local loops. The network terminating units RNT allocated to the individual subscribers are connected to a higher-level communication network, for example to the ISDN-oriented landline network, via the "radio channel" transmission medium and the base station RBS.

Due to the increasing spread of multimedia applications, high-bit-rate data streams must be transmitted rapidly and reliably via communication networks, especially via wireless communication networks or, respectively, via mobile radio systems, and high demands are made on the radio transmission systems which are based on a transmission medium "radio channel" which is susceptible to interference and difficult to assess with regard to the quality of transmission. A transmission method for transmitting broadband data streams, e.g. such as video data streams, is represented by, for example, the OFDM (orthogonal frequency division multiplexing) transmission method based on a so-called multicarrier method. In the OFDM transmission technology, the information to be transferred or, respectively, the data stream to be transferred is divided or, respectively, converted to parallel form, to a number of sub-channels or subcarriers within the radio channel, the The information to be transferred in each case being transmitted at a relatively low data rate but in parallel in relatively superimposed form. The OFDM transmission technology is used, for example, in digital terrestrial radio (also called digital audio broadcasting DAB) and for digital terrestrial television (also called digital terrestrial video broadcasting DTVB).

The OFDM transmission method is described in greater detail in the printed document "Mitteilungen der TU-Braunschweig, Mobilfunktechnik für Multimedia-Anwendungen" (Information as the Braunschweig technical university, mobile radio technology for multimedia applications), Professor H. Rohling, volume XXXI, issue 1-1996, in figure 6, page 46. In this method, a serial/parallel conversion is performed for the modulation of the, for example the, n subcarriers on the basis of a serial data stream in the transmitter, a binary code word with word length k (the word length k is being dependent on the modulation method used) being formed in each case for the ith OFDM block in time with block length T' and

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the jth subcarrier. From the code words formed, the corresponding complex modulation symbols, also called transmit symbols in the text which follows, are formed with the aid of a transmitter-specific modulation method, wherein one transmit symbol being is allocated to each of the k subcarriers at any time i. The spacing of the individual subcarriers is defined by  $\Delta f = 1$ -T' which guarantees that the individual subcarrier signals are orthogonal within the useful interval [0,T']. By multiplying the oscillations of the individual subcarriers by the corresponding modulation symbols or transmit symbols and subsequently adding the modulation products formed, the corresponding discrete-time transmit signal is generated for the ith OFDM block in time. This transmit signal is calculated in sampled, i.e. in discrete-time form by an inverse discrete Fourier transform (IDFT) directly from the modulation symbols or transmit symbols of the individual subcarriers considered. To minimize intersymbol interferences, each OFDM block is preceded by a guard interval T<sub>G</sub> in the time domain which causes an extension of the discretetime OFDM signal in the interval [-T<sub>G</sub>, 0]; compare "Mitteilungen der TU-Braunschweig, Mobilfunktechnik für Multimedia-Anwendungen", figure 7. The inserted guard interval T<sub>G</sub> advantageously corresponds to the maximum delay difference occurring between the individual propagation paths occurring during the radio transmission. By removing the added guard interval T<sub>G</sub> at the receiver end, a disturbance of the ith OFDM block by, for example, the adjacent OFDM signal in time at time i-1 is avoided, so that the transmit signal is received in interval [0, T'] over all indirect paths and the orthogonality between the subcarriers is retained to its full extent in the receiver. In the case of a large number of subcarriers, for example n = 256 subcarriers, and correspondingly long symbol periods  $T = T' + T_G$ , the period T<sub>G</sub> is small compared with T so that the insertion of the guard interval effectively does not significantly impair the bandwidth and only a small overhead is produced. After the transmit signal received at the input of the receiver is sampled in the baseband by an A/D converter, and after the useful interval has been extracted, i.e. after the guard interval T<sub>G</sub> has been eliminated, the received transmit signal is transformed into the frequency domain with the aid of a discrete Fourier

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transform (DFT); i.e., the received modulation symbols or, respectively, the received receive symbols are determined. From the receive symbols determined, the corresponding receive code words are generated by means of via a suitable demodulation method, and from these, the received serial data stream is formed by parallel/serial conversion. Avoiding intersymbol interference in OFDM transmission methods considerably reduces the computing effort in the respective receiver as a result of which the OFDM transmission technology is used, for example, for the terrestrial transmission of digital television signals; for example, for the transmission of broadband data streams with a transmission rate of 34 Mbit/s per radio channel.

To transmit the serial data stream to be transmitted with the aid of the OFDM transmission method, absolute or, respectively, differential modulation methods and corresponding coherent or, respectively, incoherent, demodulation methods are used. Although the orthogonality of the subcarriers is retained in its full extent by using the OFDM transmission method when transmitting the transmit signal formed via the "radio channel" transmission medium, both the phase and the amplitude of the transmitted discrete-frequency and frequency-selective transmit signals are changed by the transmission characteristics of the radio channel. The influence of the radio channel on amplitude and phase takes place subcarrierspecifically on the individual subcarriers which in each case have a very narrow bandwidth; in In addition, noise signals are additively superimposed on the transmitted useful signal. When coherent demodulation methods are used, a channel estimation is required which depends on considerable technical and economic expenditure for its implementation depending on the quality requirements and which also reduces the performance of the transmission system. Advantageously, differential modulation methods and corresponding incoherent demodulation methods are used in which any elaborate radio channel estimation can be dispensed with. In the case of differential modulation methods, the information to be transmitted is not transmitted directly by selection of the modulation symbols or the discrete-frequency transmit symbols but by changing the discrete-frequency

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transmit symbols, which are adjacent in time, on the same subcarrier. Examples of differential modulation methods are the 64-level 64-DPSK (differential phase shift keying) and the 64-DAPSK (differential amplitude and phase shift keying) methods. In the 64-DAPSK, both the amplitude and simultaneously the phase are differentially modulated.

In the case of large delay differences between the individual signal paths, i.e. in the case of strong multipath propagation, different transmission-channelrelated attenuations may occur between the individual received subcarriers, with attenuation differences of up to 20 dB and more. The received subcarriers having high attenuation values or, respectively, the subcarriers having low S/N values (also called the signal power/noise power ratio) have a very large symbol error rate as a result of which the total bit error rate rises considerably over all subcarriers. In the case of subcarriers modulated with the aid of coherent modulation methods, it is already known to correct the attenuation losses caused by the frequency-selective transmission characteristics of the transmission medium (also called the transfer function H(f) with the aid of the inverse transfer function (also called 1/H(f)) at the receiving end<sub>52</sub> the The frequency-selective attenuation losses being are then determined, for example, by evaluating reference pilot tones transmitted and in each case are allocated to certain subcarriers. This method for equalizing the transmission channel at the receiving end, however, causes a great increase in noise in the subcarriers with low S/N values. The bit error rate caused by the increase in noise in subcarriers with low S/N values cannot even be improved by introducing channel coding so that the total transmission channel capacity of the frequencyselective transmission medium, which is possible over all subcarriers, is not achieved in spite of equalization of the transmission channel at the receiving end.

In known methods for improving the transmission quality in multicarrier systems as are known, for example, from the document "Comparison between adaptive OFDM and single carrier modulation with frequency domain equalization", A. Czylwik, IEEE Vehicular Technology Conference, USA, New York, vol. Conf. 47, 1997, pp. 865-869, XP000736731, ISBN: 0-77803-3660-7, the

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transfer function of the channel is estimated by means of via information already transmitted. It is assumed here that the characteristics of the radio channel change only slowly in time. The estimated transfer function is transmitted back to the transmitter from the receiving station via signaling stations.

In a multicarrier method according to US 5 673 290, transmission parameters of a communication line are measured. The modulation method of each carrier is then adapted to the measured parameters.

The <u>present</u> invention is based on the object of thus, directed toward achieving maximum utilization of the available transmission resources of the transmission medium during the transmission of information via a transmission medium having frequency-selective transmission characteristics. In particular, it is intended to achieve maximum utilization of the transmission resources of all multipath components or subcarriers when using a multicarrier method. The object is achieved on the basis of a method and a communication arrangement according to the features of the preamble of claims 1 and 20 by their characterizing features.

### **SUMMARY OF THE INVENTION**

In the method according to the <u>present</u> invention for transmitting information via a transmission medium having certain transmission characteristics with the aid of a multicarrier method, the information to be transmitted is transmitted by a transmit signal having a number of frequency-specific subcarriers, to a second unit via the transmission medium. The essential An important aspect of the method according to the <u>present</u> invention consists in is that frequency-selective transmission characteristics of the transmission medium are determined in the first unit and then the frequency-specific subcarriers of the transmit signal are adapted to the frequency-selective transmission characteristics of the transmission medium which have been determined.

The essential A key advantage of the method according to the <u>present</u> invention consists in <u>is</u> that due to the channel equalization at the transmitting end and, respectively, adaptation of the frequency-specific subcarriers of the transmit signal to be sent out at the transmitting end to the frequency-selective transmission

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characteristics of the transmission medium which have been determined, all subcarriers of the transmit signal transmitted via the transmission medium have the same receive levels or, respectively, signal amplitude values, and thus Thus the same signal power/noise power ratios S/N at the input of the second unit. In consequence, all subcarriers of the transmit signal can be modulated with the same number of modulation levels at the transmitting end so that maximum utilization of the transmission resources of the individual subcarriers of the transmit signal, and thus maximum utilization of the transmission resources of the transmission medium is achieved. Due to the fact that the subcarriers of the transmit signal are modulated with the same number of modulation levels, the expenditure for controlling the modulation and demodulation and, especially, the overhead in transmitting the modulation and demodulation control information, for example via a separate control channel of the transmission medium, is minimized. Advantageously, the frequency-selective channel equalization according to the present invention at the transmitting end prevents the increase in level of the noise signal duly caused in the case of channel equalization at the receiving end and associated with an increase in bit error probability.

According to an advantageous embodiment of the method according to the present invention, the frequency-selective transmission characteristics of the transmission medium are determined in the second unit and frequency-specific subcarriers of another transmit signal formed with the aid of a multicarrier method and transmitted from the second unit to the first unit are adapted to the frequency-selective transmission characteristics of the transmission medium which have been determined elaim 2. By determining the frequency-selective transmission characteristics both in the first unit and in the second unit, the channel equalization of the transmit signal at the transmitting end can be advantageously implemented both in the downstream direction and in the upstream direction, as a result of which the utilization of the available transmission resources of the transmission medium arranged between the first unit and the second unit is further improved.

The frequency-selective transmission characteristics are advantageously determined with the aid of the transmit signal transmitted to the first unit and, respectively, second unit via the transmission medium, in which arrangement at least one subcarrier of the transmit signal is used for transmitting at least one pilot signal elaim 6. Due to the transmission and evaluation of pilot signals at the receiving end, detection of the transmission characteristics of the transmission medium arranged between the first unit and the second unit can be achieved with little technical and economic expenditure. In particular, the transfer function H(f) of the transmission medium and, in particular, the absolute value of the transfer function |H(f)| elaim 5 can be determined in a particularly simple manner by evaluating received, frequency-selective pilot signals.

The at least one subcarrier of the transmit signal for transmitting the at least one pilot signal is advantageously modulated by a phase modulation method, wherein the pilot signal having is a certain reference amplitude claim 7. Due to this advantageous embodiment, the subcarriers of the transmit signal utilized for the transmission of pilot signals are additionally used, at least partially, for transmission of useful information or, respectively, digital data streams, thus Thus, achieving a further improvement in the utilization of the transmission resources of the transmission medium is achieved.

In the case of transmit signals having a large number of subcarriers, the transmission medium has virtually identical transmission parameters for adjacent subcarriers. According to a further advantageous embodiment of the method according to the <u>present</u> invention, the amplitude-specific and/or phase-specific transmission characteristics of adjacent subcarriers of the incoming transmit signal are averaged for determining the frequency-selective transmission characteristics of the transmission medium <del>claim 8</del>. Due to the advantageous averaging over the transmission characteristics of a number of subcarriers, arranged adjacently in the frequency domain, of the transmit signal which have been determined, the number of estimated values, thus the accuracy of the channel estimation at the transmitting

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end, is two-dimensionally increased without the spectral distance to adjacent subcarriers becoming too large.

In the case of fast time variations of the transmission media exhibiting transmission characteristics or, respectively, in the case of time-variant transmission media, time-selective, amplitude-specific and/or time-selective, phase-specific transmission characteristics of the transmission medium are determined, according to a further advantageous embodiment of the method according to the present invention, in which Pursuant to such method, a number of frequency-selective, amplitude-specific and/or frequency-selective, phase-specific transmission characteristics, which are determined over a period of time, are stored in the respective unit and then in each case the average over the stored frequencyselective, amplitude-specific and/or frequency-selective, phase-specific transmission characteristics is formed. Following this, the frequency-specific subcarriers of the transmit signal are adapted to the transmission characteristics of the transmission medium which are averaged over time claim 9. Due to the averaging over a number of frequency-selective transmission characteristics of the transmission medium which have been determined successively in time, the first derivation of the time variations of the transmission characteristics of the transmission medium is corrected during the detection of the transmission characteristics, which further improves the quality of the channel estimation at the transmitting end and the channel equalization at the transmitting end.

The frequency-selective transmission characteristics which have been determined are advantageously transmitted by the first unit to the second unit and the frequency-specific subcarriers of the further transmit signal are adapted to the transmitted transmission characteristics of the transmission medium in the second unit claim 10. Due to this advantageous variant of the this embodiment, the transmission characteristics of the transmission medium arranged between the first unit and the second unit are only determined in one unit and the result of the determination is transmitted in parameterized form to the second unit as a result of

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which the expenditure for implementing the channel equalization at the transmitting end is kept low both in the first unit and in the second unit.

According to a further advantageous embodiment of the present invention, the signal power/noise power ratio S/N is determined for each subcarrier of the transmit signal in the determination of the frequency-selective transmission characteristics and the subcarriers are used for transmitting information (dsu, dsd) in dependence on the signal power/noise power ratio S/N determined in each case elaim 14. In the case of a signal power/noise power ratio S/N measured below a limit value, the corresponding subcarrier is advantageously not used for transmitting information elaim 15. Due to the deactivation of the subcarriers having, in each case, an inadequate signal power/noise power ratio S/N and thus not being usable for information transmission, the transmitting power of the remaining subcarriers used for information transmission can be correspondingly increased. Increasing the transmitting power of the subcarriers used for information transmission further reduces their bit error probability.

Additional features and advantages of the present invention are described in, and will be apparent from, the following Detailed Description of the Preferred Embodiments and the Drawings.

### **DESCRIPTION OF THE DRAWINGS**

Further advantageous embodiments of the method according to the invention can be found in the further claims.

In the text which follows, the method according to the invention will be explained in greater detail with reference to two drawings, in which:

Figure 1 shows a centralized transceiver unit implementing an OFDM transmission method; and

Figure 2 shows a decentralized transceiver unit which is connected to a centralized transceiver unit according to figure Figure 1 via the transmission medium "radio channel" and implements an OFDM transmission method.

# DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

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Figure Figure 1 and figure 2 in this case show in a block diagram a first and second transceiver unit SEE1,2 which can be, for example, modular components of transmitting and receiving systems implementing wireless communication networks. In the present exemplary embodiment, the first transceiver unit SEE1 shown in-figure Figure 1 is arranged in a base station BS representing the center of a radio cell or of a radio area (not shown) and the second transceiver unit SSE2 shown in figure Figure 2 is arranged in a decentralized wireless network terminating unit RNT representing a wireless subscriber line unit; figure Figure 2 only shows a wireless network terminating unit RNT as representative of decentralized network terminating units allocated to the base station BS or, respectively, the radio cell. To each decentralized wireless network terminating unit RNT, at least one decentralized communication terminal (not shown) can be connected which can be constructed, for example, as multimedia communication terminal or as ISDN-oriented telephone terminal. The decentralized wireless network terminating units RNT and the decentralized communication terminals connected to them can be connected to a higher-level communication network connected to the base station BS for example an ISDN-oriented landline network or a broadband-oriented multimedia communication network, (not shown), via the wireless transmission medium "radio channel".

The first transceiver unit SEE1 shown in figure Figure 1 has a data input ED to which a digital serial data stream dsd to be transmitted from the higher-level communication network to the decentralized wireless network terminating units RNT is conducted. The data input ED is connected to an input EO of an OFDM transmit unit SOB which is arranged in the first transceiver unit SEE1 and in which a method, already explained in the introduction to the description, for forming an OFDM signal sd having n subcarriers is implemented. The OFDM transmit unit SOB exhibits a modulator MOD which modulates the n subcarriers of the OFDM signal sd and which is connected via n outputs AM1...n and n link lines to n frequency-selective inputs EF1...n, associated with the n subcarriers of the OFDM signal sd, of a transformation unit IFFT for performing a discrete inverse fast

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Fourier transformation. The transformation unit IFFT is used for generating from the subcarrier-specific modulation symbols or, respectively, transmit symbols SS1...n conducted from a modulator MOD to the frequency-selective inputs EF1...n of the transformation unit IFFT a discrete-time OFDM signal. In the OFDM transmit unit SOB, other units, (not shown), e.g. such as parallel/serial converters, digital/analog converters, filter units, and amplitude limiters, for converting the discrete-time OFDM signal into the analog OFDM signal sd, for example by adhering to spectrum masks defined for wireless communication networks or mobile radio systems and stipulated by ETSI standardization, are arranged. The OFDM transmit unit SOB is connected via an output AO to an input EH of a radiofrequency transmit unit HS which is connected via an output AH and via an antenna output AS of the first transceiver unit SEE1 to a transmit antenna SA arranged in the external area of the base station BS. The analog OFDM transmit signal sd is amplified by a transmit amplifier, not shown, arranged in the radio-frequency transmit unit HS, is mixed into the radio-frequency or RF band and subsequently transmitted via the transmit antenna SA and via the wireless transmission medium "radio channel" to the decentralized network terminating units RNT arranged in the radio cell of the base station BS (also called the downstream direction).

Furthermore, an OFDM receiving unit EOB is arranged in the first transceiver unit SEE1, which is connected via an input EO to an output AH of a radio-frequency receiving unit HE. The radio-frequency receiving unit HE has an input EH which is connected to a receiving antenna EA arranged in the external area of the base station BS, via an antenna input ES of the first transceiver unit SEE1. An OFDM signal su transmitted by a decentralized network terminating unit RNT to the base station BS and received at the receiving antenna EA of the base station BS is down converted to the intermediate-frequency band or, respectively, the baseband by a conversion means, device (not shown) arranged in the radio-frequency receiving unit HE and then forwarded to the input EO of the OFDM receiving unit EOB.

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In the OFDM receiving unit EOB, a transformation unit FFT for implementing a discrete fast Fourier transform and having a number of frequencyselective outputs AF1...n is arranged, each frequency-selective output AF1...n being associated with one subcarrier of the received OFDM signal. After previous discretization and digitization with the aid of an analog/digital converter, (not shown), the OFDM signal su received and down converted into the intermediate-frequency band or baseband, respectively, is transformed into the frequency domain with the aid of the fast Fourier transform implemented by the transformation unit FFT; i.e., the modulation symbols or receive symbols es1...n of the respective subcarriers contained in the OFDM signal are determined and then forwarded to the corresponding frequency-selective outputs AF1..n of the transformation unit FFT. The outputs AF1...n of the transformation unit FFT are connected to n inputs EM1..n of a demodulator DMOD via n link lines. From the receive symbols es1...n forwarded to the demodulator DMOD from the transformation unit FFT, the corresponding receive code words transmitted via the respective subcarriers are determined with the aid of a demodulation method implemented in the demodulator DMOD. The receive code words which have been determined are then converted with the aid of a parallel/serial converter, (not shown), associated with the OFDM receiving unit EOB, into a serial digital data stream deu which is forwarded, for example, to the higher-level communication network via a data output AD of the first transceiver unit SEE1.

The second transceiver unit SEE2, arranged in the decentralized wireless network terminating unit RNT according to <u>figure Figure 2</u>, has an OFDM receiving unit EON which is connected via an input EO to an output AH of a radio-frequency receiving unit HE arranged in the second transceiver unit SEE2. The radio-frequency receiving unit HE is connected via an input EH to a receiving antenna EA arranged in the external area of the network terminating unit RNT. The OFDM signal sd transmitted by the base station BS to the network terminating unit RNT and received at the receiving antenna EA is down converted into the intermediate-frequency band or, respectively, the baseband by <u>a</u> conversion means, <u>device (not shown)</u>, arranged in the radio-frequency receiving unit HE, and

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then forwarded to the input EO of the OFDM receiving unit EON. In the OFDM receiving unit EON, a transformation unit FFT for implementing a discrete fast Fourier transform and exhibiting a number of frequency-selective outputs AF1...n is arranged, each frequency-selective output AF1...n being associated with one subcarrier of the received OFDM signal sd. Using the fast Fourier transform implemented by the transformation unit FFT, the OFDM signal sd received and down converted into the intermediate-frequency band or baseband, respectively, is transformed into the frequency domain after previous discretization and digitization with the aid of an analog/digital converter, (not shown); i.e., the modulation symbols or receive symbols es1...n of the respective subcarriers contained in the received OFDM signal sd are determined and then forwarded to the corresponding frequency-selective outputs AF1...n of the transformation unit FFT. The n outputs AF1...n of the transformation unit FFT are connected via n link lines to n inputs EK1...n of a channel estimation unit KS which is connected to corresponding frequency-selective inputs EM1...n of a demodulator DMOD arranged in the OFDM receiving unit EON via n outputs AK1...n and n link lines. The frequencyselective receive symbols es1..n transmitted by the transformation unit FFT to the channel estimation unit KS are forwarded to the inputs EM1...n of the demodulator DMOD. In the channel estimation unit KS, a first evaluating means device UF are is arranged by means of via which the frequency-selective amplitude-specific transmission channel characteristics of the transmission medium "radio channel" are determined from the receive symbols es1...n conducted to the channel estimation unit KS; i.e., the frequency-selective amplitude distortions (also called amplitude response or absolute value of the transfer function of the radio channel |H(f)|) caused by the transmission medium "radio channel" are determined for each subcarrier. Furthermore, the S/N ratio is determined for each subcarrier from the incoming receive symbols es1...n by means of via a further evaluating means device SN arranged in the channel estimation unit KS. From the frequency-selective amplitude response |H(f)| determined and the frequency-selective S/N ratio determined, an information signal is transmitting the results of the determination is

generated by <u>a</u> signal generating <u>means</u>, <u>device</u> (not shown), arranged in the channel estimation unit KS, which information signal is forwarded to a control output SA of the OFDM receiving unit EON via an output ASK of the channel estimation unit KS.

The frequency-selective receive symbols es1...n forwarded to the demodulator DMOD from the channel estimation unit KS are converted into the receive code words transmitted via the respective subcarriers by a demodulation method implemented in the demodulator DMOD. From the receive code words determined, a serial/digital data stream ded is then formed with the aid of a parallel/serial converter; (not shown), which is associated with the OFDM receiving unit EON, which data stream is conducted to a data output AS of the second transceiver unit SEE2 via an output AO of the OFDM receiving unit EON and is then transmitted, for example, to a decentralized destination communication terminal, not shown, which is connected to the decentralized network terminating unit RNT.

The control output SA of the second transceiver unit SEE2 arranged in the decentralized network terminating unit RNT is connected via a link line VL to a control input SE of an OFDM transmit unit SON arranged in the second transceiver unit SEE2, in which transmit unit a method for forming an OFDM signal su to be transmitted in the upstream direction and having n subcarriers is implemented. The OFDM transmit unit SON is connected via an input EO to a data input ES of the second transceiver unit SEE2 to which, for example, a digital serial data stream dsu to be transmitted from a decentralized communication terminal via the wireless transmission medium "radio channel" to the higher-level communication network is conducted. The digital serial data stream dsu is divided into n parallel sub-data streams, or converted in parallel form, respectively, by a serial/parallel converter, (not shown), which is associated with the OFDM transmit unit SON, each of the n sub-data streams being allocated to one of the n subcarriers of the OFDM signal. The n parallel sub-data streams are conducted to a modulator MOD arranged in the OFDM transmit unit SON and modulating the n subcarriers of the OFDM signal os,

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the incoming n sub-data streams being converted into n frequency-selective modulation symbols or transmit symbols ss1...n associated with the n subcarriers of the OFDM signal by a modulation method implemented in the modulator MOD. The n frequency-selective transmit symbols ss1...n formed are forwarded to n outputs AK1...n of the modulator MOD which is connected to n frequency-selective inputs EE1...n of a channel equalizer unit EZ, which are associated with the n subcarriers of the OFDM signal su. The channel equalizer unit EZ has a control input ESS which is connected to the control input SE of the OFDM transmit unit SON and is thus connected to the output ASK of the channel estimation unit KS arranged in the OFDM receiving unit EON via the link line VL.

The channel equalizer unit EZ has means capabilities for adapting the transmit symbols ss1...n formed by the modulator MOD and forwarded to the channel equalizer unit EZ to the frequency-selective amplitude-specific transmission channel characteristics of the transmission medium "radio channel" determined in the OFDM receiving unit EON (also called "equalization" of the amplitude response" or "amplitude equalization"); i.e., the amplitudes of the frequency-selective transmit symbols ss1...n are corrected in dependence on the information signal is transmitted to the control input ESS. For example, the frequency-selective transmit symbols ss1...n are multiplied by the inverse of the absolute value of the transfer function of the radio channel determined, in this case 1/|H(f)|. The n corrected frequency-selective transmit symbols ss'1...n are forward to n outputs AZ1...n of the channel equalizer unit EZ which are connected to corresponding n frequency-selective inputs EF1...n, allocated to the n subcarriers of the OFDM signal, of a transformation unit IFFT for performing a discrete inverse fast Fourier transformation. Using the transformation unit IFFT, a discrete-time OFDM signal is calculated from the subcarrier-specific and corrected transmit symbols ss'1...n forwarded from the channel equalizer unit EZ to the frequencyselective inputs EF1...n of the transformation unit IFFT. In the OFDM transmit unit SON, further units, (not shown), e.g. such as parallel/serial converters, digital/analog converters, filter units, and amplitude limiters, for converting the

discrete-time OFDM signal into an analog OFDM transmit signal su, for example by adhering to the aforementioned ETSI spectrum masks, are arranged. The OFDM transmit unit SON is connected via an output AO to an input EH of a radio-frequency transmit unit HS which is connected to a transmit antenna SA arranged in the external area of the decentralized network terminating unit RNT via an output AH and via an antenna output AS of the second transceiver unit SEE2. The analog OFDM transmit signal su is amplified by a transmit amplifier, not shown, which is arranged in the radio-frequency transmit unit HF, is converted into the radio-frequency band or RF band and then transmitted to the base station BS via the transmit antenna SA and via the wireless transmission medium "radio channel" in the upstream direction.

It should be noted that the exemplary embodiment described only represents a functional description of the method according to the <u>present</u> invention; i.e., the embodiment of the first and second transceiver unit SEE1,2 described in the exemplary embodiment can also be implemented by alternative variants of the embodiment. For example, the radio-frequency transmitting unit and receiving unit HS, HE arranged in each case in a transceiver unit SEE1,2 can be replaced by a radio-frequency converter unit; (not shown), where the respective transmitting and receiving paths are separated by means of via a switch, not shown.

In the text which follows, the method according to the <u>present</u> invention for maximum utilization of the transmission resources provided by the wireless transmission medium "radio channel" is explained in greater detail.

The radio-frequency transmitting and receiving units HS, HE arranged in the first and second transceiver unit SEE1,2 are designed in such a manner that OFDM signals sd, su transmitted in the downstream and upstream direction are transmitted in the TDD (time division duplex) transmission method. In the TDD transmission method, the information to be transmitted between the base station BS and the wireless decentralized network terminating units RNT are alternately transmitted with the aid of signal bursts of a particular extent in time which are sent out in the same frequency range. In this method, the transceiver units SEE1,2

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arranged in the network terminating units RNT and in the base station BS are alternately switched to transmit and receive mode. When the TDD transmission method is used, the wireless transmission medium "radio channel" exhibits reciprocal characteristics, i.e. That is, the OFDM signal sd sent out in bursts in the downstream direction by the base station BS and received by a decentralized network terminating unit RNT, it is possible to determine or, respectively, estimate the frequency-selective amplitude-specific and/or phase-specific transmission channel characteristics of the transmission medium "radio channel" for the OFDM signal su to be transmitted in the upstream direction by the decentralized network terminating unit RNT.

According to a first variant of the embodiment of the method according to the present invention, a differential phase modulation method (differential phase shift keying, for example a 64 DPSK), is implemented in the modulator MOD arranged in the OFDM transmit unit SOB of the first transceiver unit SEE1. When a differential modulation method is used, no carrier recovery of the received OFDM signal sd and no precise recovery of the bit clock is required in the subsequent demodulation in the corresponding OFDM receiving unit EON, or, respectively, the demodulator DMOD arranged therein. To provide for a determination of the frequency-selective transmission characteristics of the transmission medium "radio channel", also called channel estimation in the text which follows, at the receiving end, the modulator MOD arranged in the base station BS is designed in such a manner that a particular number of the transmit symbols ss1...n present at the n outputs AM1...n of the modulator MOD are designed as pilot symbols with defined reference amplitude; i.e., some of the subcarriers of the OFDM signal sd to be transmitted in the downstream direction are used for transmitting in each case a pilot tone or pilot signal having a defined reference amplitude. For example, 10% of the subcarriers of the OFDM signal sd, which can be used for information transmission, are used for transmitting pilot tones.

From the OFDM signal received at the receiving antenna EA of the network terminating unit RNT, the transmitted receive symbols es1...n of the respective

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subcarriers of the received OFDM signal sd are determined by the transformation unit FFT arranged in the OFDM receiving unit EON and forwarded to the channel estimation unit KS.

From the receive symbols es1...n present at the inputs EK1...n and designed as pilot symbols, the frequency-selective, amplitude-specific transmission characteristics or frequency-selective attenuation characteristics of the transmission medium "radio channel" FK arranged between the base station BS and the decentralized wireless network terminating unit RNT are determined, i.e. That is, the amplitude response or absolute value of the transmission function |H(f)| of the transmission medium "radio channel" FK is determined by the first evaluating means device HF arranged in the channel estimation unit KS. With the aid of the information signal is, the transmission characteristics of the transmission medium "radio channel" FK which have been determined are then transmitted to the control input SE of the OFDM transmitting unit SON arranged in the decentralized network terminating unit RNT via the link line VL. Furthermore, the receive symbols es1...n forwarded from the channel estimation unit KS to the n inputs EM1...n of the demodulator DMOD are converted in the OFDM receiving unit EON, with the aid of the differential or, respectively, incoherent demodulation method implemented in the demodulator DMOD, into the receive code words transmitted via the respective subcarriers of the OFDM signal sd, from which code words the serial digital data stream ded conducted to the output AS of the second transceiver unit SEE2 is formed.

According to the <u>present</u> invention, the OFDM signal to be transmitted to the base station BS in the upstream direction is generated in dependence depending on the transmission channel characteristics of the transmission medium "radio channel" determined by the OFDM receiving unit EON and forwarded to the OFDM transmitting unit SON. For this purpose, the digital serial data stream dsu received at the input EO of the OFDM transmitting unit SON arranged in the second transceiver unit SEE2 and to be transmitted to the base station BS is converted into parallel form and converted into the transmit symbols ss1...n

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associated with the n subcarriers of the OFDM signal, with the aid of the modulator MOD. The transmit symbols ss1...n formed are forwarded to the n inputs EE1...n of the channel equalizer unit EZ and adapted to the frequency-selective amplitude-specific transmission channel characteristics of the transmission medium "radio channel" FK, which have been determined, by the correction means device 1/HF arranged in the equalizer unit (also called amplitude equalization at the transmitting end). The amplitude equalization at the transmitting end implemented by the correction means device 1/HF takes place in such a manner that the transmit symbols ss1...n of the individual subcarriers of the OFDM signal su are multiplied by a factor representing the absolute value of the inverse of the transfer function  $H_n(f)$  determined in this case,  $1/|H_n(f)|$  for  $0 \le n \le N-1$ , wherein n representing represents the length of the Fourier transform implemented in the transformation unit IFFT and  $H_n(f)$  representing represents the transfer function of the nth subcarrier of the OFDM signal.

The frequency-selective amplitude equalization according to the present invention, at the transmitting end, which has been described, has the effect that all subcarriers of the OFDM signal su transmitted to the base station BS from the decentralized network terminating unit RNT in the upstream direction have the same receive levels or signal amplitude values when they arrive at the receiving antenna EA of the base station BS. Since all subcarriers of the OFDM signal su received in the base station BS have the same receive level, the signal power/noise power ratio S/N is identical for all subcarriers. Thus, all subcarriers can be modulated with the same number of modulation levels at the transmitting end; i.e., with the aid of the OFDM transmitting unit SON arranged in the decentralized network terminating unit RNT or, respectively, with the aid of the modulator MOD arranged there, thus achieving This achieves maximum utilization of the transmission resources of the individual subcarriers of the OFDM signal su. For example, if the decentralized network terminating units RNT are arranged close to the base station BS, the individual subcarriers of the OFDM signal su to be transmitted to the base station BS in the upstream direction can be modulated with

the aid of the 64-QAM (quadrature amplitude modulation). As the distance between the decentralized network terminating unit RNT and the base station BS increases, i.e. with increasing attenuation characteristics of the transmission medium "radio channel" FK, the number of modulation levels is reduced. Due to the identical S/N ratio of the subcarrier of the OFDM signal su received in the base station BS, no subcarrier-individual number of modulation levels is required for controlling the demodulation of the received OFDM signal so that the control effort for modulating and demodulating the OFDM signal su is advantageously minimum. By avoiding the requirement of subcarrier-individual number of modulation levels, no additional overhead is generated for transmitting additional control information controlling the subcarrier-individual modulation and demodulation, thus preventing the transmission capacity of the transmission medium "radio channel" from being reduced.

As an alternative, the transmission power of the OFDM signal su to be sent out can be correspondingly reduced instead of increasing the number of modulation levels of the OFDM signal su to be sent in the upstream direction. The transmission power can be lowered, for example, in the radio-frequency transmit unit HS of the decentralized network terminating unit RNT. Lowering the transmission power minimizes the mutual interference of the subcarriers of OFDM signals sd, su sent within a radio cell, also called intercell interference (ICI) and, as a result, the transmission capacity of the total system arranged within a radio cell is increased.

According to a further advantageous variant of the embodiment of the method according to the <u>present</u> invention, the channel estimation unit KS of the OFDM receiving unit EON arranged in the decentralized network terminating unit RNT has <u>a</u> further evaluating <u>means device</u> SN for detecting the subcarrier-individual S/N ratios of the respective subcarriers of the received OFDM signal sd. The subcarrier-individual S/N ratios detected in each case with the aid of the further evaluating <u>means device</u> S/N are additionally transmitted, in addition to the detected amplitude-specific transmission characteristics H(f) of the transmission medium FK with the aid of the information signal is via the link line VL to the

OFDM transmitting unit SON arranged in the decentralized network terminating unit RNT or, respectively, to the channel equalizer unit EZ arranged there.

In the channel equalizer unit EZ a further correction means device, (not shown here), are is arranged by means of via which the subcarriers having unfavorable S/N ratios or the subcarriers having an S/N ratio which is measured below a limit value, are deactivated in dependence on the S/N ratios transmitted to the control input ESS, and thus are thus not used for information transmission. For example, in the case of decentralized network terminating units RNT which are at a large distance from the base station BS, only every second or fourth subcarrier of the OFDM signal su to be sent to the base station BS is used for information transmission, the transmission power of the subcarriers used for information transmission being correspondingly increased. Increasing the transmission power of the subcarriers used for information transmission further reduces the bit error probability. Deactivated subcarriers of the received OFDM signal can be detected by simple amplitude calculation in the OFDM receiving unit EON, EOB.

Since the determination of the frequency-selective amplitude-specific transmission characteristics of the transmission medium "radio channel" FK, implemented in the decentralized network terminating unit RNT at the transmitting end, only requires the evaluation of the amplitude value of the pilot symbols or pilot tones transmitted from the base station BS to the decentralized network terminating unit RNT by the channel estimation unit KS arranged in the decentralized network terminating unit RNT, the phase information of the pilot symbols or pilot tones of the OFDM signals sd, sent from the base station BS to the decentralized network terminating unit RNT, can be additionally used for transmitting the digital information dsd. The subcarriers of the OFDM signal sd which transmits pilot symbols or pilot tones can be modulated, for example, with the aid of an absolute or differential phase modulation method with defined reference amplitude as a result of which an advantageous utilization of the transmission capacity of the transmission medium "radio channel" is achieved.

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The OFDM transmission units SOB, SON arranged in the base station BS or decentralized network terminating unit RNT or, respectively, the modulators MOD arranged there, are designed in such a manner that the subcarriers of the OFDM signals sd, su which are not used for the transmission of pilot tones are modulated with a coherent or absolute modulation method, for example an m-level QAM, since m-level QAM modulation methods can also be used in transmission media with unfavorable S/N ratios.

When coherent m-level modulation methods are used, additional means, methods (not shown), for the channel estimation or channel equalization at the receiving end, required according to the prior art, especially for phase equalization, i.e. correction of the phase angles, of the subcarriers received in each case of the received OFDM signal sd, su are required in the corresponding OFDM receiving units EON, EOB arranged in the base station BS and, respectively, the decentralized network terminating unit RNT. To provide for correction of the phase angles of the incoming subcarriers in the OFDM receiving unit EON, EOB, the first subcarrier of the OFDM signal sd, su is transmitted with a defined phase, e.g.  $\varphi = 0$ degrees, by the OFDM transmitting unit SOB, SON. The phase of the first subcarrier is rotated by, for example,  $\Delta \phi$  by the transmission medium "radio channel" FK. The second subcarrier arranged closely adjacently to the first subcarrier is also rotated by  $\Delta \varphi$  in this process. To restore the original phase angles of the transmitted OFDM signal sd, su, the second subcarrier must be multiplied by the complex factor e<sup>-jAop</sup> by the correction means device arranged in the OFDM receiving unit EON, EOB. The phase shift  $\Delta \varphi$  of the first subcarrier caused by the transmission medium "radio channel" FK can be detected, and the phase angle of the adjacent second subcarrier of the received OFDM signal can be correspondingly corrected with the aid of the correction means device due to the pilot tone with defined transmitting phase transmitted via the first subcarrier. After the correction of the phase angle or phase equalization at the receiving end, the information transmitted via the second subcarrier is decided with the aid of the demodulator. The phase shift of the second subcarrier is determined in dependence on the result

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of the decision. The phase angle of the third subcarrier is then corrected in the manner described by means of via the phase shift of the second subcarrier determined, etc.

According to a further advantageous embodiment of the method according to the present invention, the OFDM receiving unit EOB arranged in the base station BS also has a channel estimation unit KS, not shown, by means of via which the receive symbols es1...n transmitted with the aid of the received OFDM signal su are evaluated and, from this, the frequency-selective, amplitude-specific radio channel characteristics of the transmission medium "radio channel" FK are evaluated in the manner described and are transmitted via a link line, (not shown), to a further channel equalizer unit, (not shown), which is arranged in the OFDM transmitting unit SOB of the base station BS. Due to this advantageous embodiment, the OFDM signals sd to be transmitted to the decentralized network terminating units RNT from the base station BS in the downstream direction, and the subcarriers contained therein, can also be adapted to the transmission characteristics of the transmission medium "radio channel". The equalization of the amplitude response at the transmitting end achieved in this manner, both in the downstream direction and in the upstream direction, further improves the utilization of the transmission capacity of the transmission medium "radio channel" FK. However, this presupposes that some of the subcarriers of the OFDM signal su to be transmitted from the decentralized network terminating unit RNT to the base station BS are used for transmitting pilot symbols or pilot tones as already described. The modulator MOD arranged in the OFDM transmitting unit SON of the decentralized network terminating unit RNT is advantageously designed in such a manner that the subcarriers of the OFDM signal su which are used for the transmission of pilot symbols are modulated with the aid of a phase modulation method, for example a QPSK modulation method, with defined reference transmit amplitude. By using phase modulation, the pilot symbols or pilot tones transmitted in the upstream direction are also at least partially also used for transmitting the digital data stream dsu.

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To increase the accuracy of channel estimation in the decentralized network terminating unit RNT and possibly in the base station BS, the subcarriers of an OFDM signal sd, su which, in each case, transmit pilot tones or pilot symbols can be transmitted with increased power.

According to a further variant of the embodiment, the channel estimation at the transmitting end is only performed by the channel estimation unit KS arranged in the decentralized network terminating unit RNT and then the frequency-selective, amplitude-specific transmission characteristics of the transmission medium "radio channel" which have been determined are transmitted in parameterized form to the base station BS or, respectively, the OFDM transmitting unit SOB arranged there. The equalization of the amplitude response of the subcarriers of the OFDM signal sd to be transmitted in the downstream direction from the base station BS is carried out by a channel equalizer unit, (not shown), which is arranged in the OFDM transmitting unit SOB of the base station BS, with the aid of the parameterized transmission characteristics transmitted.

Advantageously, only the changes of the transmission characteristics with time are transmitted to the base station BS and thus the overhead during the transmission of the transmission characteristics is minimized.

In the case of OFDM signals sd, su, having a large number of subcarriers, the transmission medium "radio channel" FK has virtually identical transmission characteristics for adjacent subcarriers. Advantageously, in addition to the directly adjacent subcarriers, the adjoining subcarriers in the frequency range are also taken into consideration for the determination of the frequency-selective transmission characteristics of the transmission medium or the channel estimation at the transmitting end performed in an OFDM receiving unit EON, EOB; i.e., an average is formed over determined transmission characteristics of a number of subcarriers arranged adjacently in the frequency range. The averaging has the advantage that the number of estimated values, and thus the accuracy of the channel estimation at the transmitting end is two-dimensionally increased without the spectral distance from adjacent subcarriers becoming too great.

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According to a further advantageous embodiment of the method according to the present invention, in the case of radio channels exhibiting a fast change with time (also called time-variant transmission channels or radio channels), the OFDM signals following in time, i.e., those received at the receiving antenna EA within a certain period of time or, respectively, the receive symbols es1...n contained therein, are also taken into consideration in the channel estimation implemented in the channel estimation unit KS. Implementation of this variant of the embodiment requires the storing of the receive symbols es1...n received successively in time or storing of the frequency-selective transmission characteristics determined in each case, in a memory, not shown, which is arranged in the first or second transceiver unit SEE1, 2. Averaging over a number of receive symbols es1...n in each case belonging to a subcarrier and received successively in time, within the channel estimation at the transmitting end performed in the channel estimation unit KS corrects the first generation of the changes with time of the transmission characteristics of the transmission medium "radio channel" FK during the detection of the transmission characteristics. Advantageously, the subcarriers arranged symmetrically about the current subcarrier in the frequency domain or, respectively, the receive symbols es1...n transmitted via this subcarrier, are taken into consideration during the averaging. As an alternative, the averaging can also be done in the channel equalizer unit EZ of the OFDM transmitting unit SON.

The determination of the frequency-selective, amplitude-specific transmission characteristics of the transmission medium "radio channel" FK, performed with the aid of the evaluating means device H(f) in the channel estimation unit KS, also called calculation of the estimated amplitude values, is relatively complex. The amplitude values of all received receive symbols es1...n of an OFDM signal sd are calculated following the calculation rule

$$\sqrt{\underline{\mathbf{T}}^2 + \underline{\mathbf{Q}}^2} = \text{Amplitude}$$

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where I is the imaginary part and Q is the real part of a received complex receive signal es1...n. The respective frequency-selective estimated amplitude values can be calculated serially, at least partially, so that the technical complexity or hardware complexity for calculating the estimated amplitude values is kept low.

According to an advantageous embodiment, the estimated amplitude values are calculated from the frequency-selective receive symbols es1...n received in each case, with the aid of values stored in a table called look-up table. For this purpose, the receive values of the imaginary part I and of the real part Q of a receive symbol es1...n, which are in each case possible, are combined to form a table address and are stored in the look-up table. Furthermore, to each stored table address, the associated correction factor,  $1/|H_n(f)|$  in this case, is allocated and stored in the corresponding table entry. The correction factors allocated to the respective table addresses represent the values by which the respective transmit symbols ss1...n of the OFDM signal sd, su to be sent out are multiplied. The extent or, respectively, number of entries of the look-up table is advantageously kept small if it is restricted to one quadrant of the complex plane, transmit symbols ss1...n having negative imaginary and real part values being inverted before the amplitude equalization at the transmitting end.

According to a further advantageous embodiment, the multiplication of the subcarriers or, respectively, of the transmit symbols ss1...n to be transmitted via the subcarriers, by the correction factor determined,  $1/|H_n(f)|$  in this case, is implemented by an addition or, respectively, subtraction with values also stored in a look-up table. This advantageous embodiment further reduces the computing effort for correcting the transmit symbols during the amplitude equalization.

Although the present invention has been described with reference to specific embodiments, those of skill in the art will recognize that changes may be made thereto without departing from the spirit and scope of the invention as set forth in the hereafter appended claims.

### **Abstract**

# ABSTRACT OF THE DISCLOSURE

Method and communication arrangement for transmitting information with the aid of a multicarrier method.

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To transmit information with the aid of a transmit signal (su) exhibiting a number of frequency-specific subcarriers from a first unit (RNT) to a second unit (BS) via a transmission medium (FK), the frequency-selective transmission characteristics of the transmission medium (FK) are determined in the first unit (RNT) and then the subcarriers of the transmit signal (su) are adapted to the transmission characteristics determined. All subcarriers of the transmit signal (su) can be advantageously modulated with the same number of modulation levels as a result of which maximum utilization of the transmission resources of the transmission medium (FK) is achieved.

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Figure 2

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Description

Method and communication arrangement for transmitting information with the aid of a multicarrier method.

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wireless communication networks based on channels, especially in point-to-multipoint radio feeder networks - also called "radio in the local loop" respectively, "RLL" - a number of network terminating units are in each case connected to a base also called "radio base station" respectively "RBS" - via one or more radio channels. In telcom report No. 18 (1995), vol. 1 "Drahtlos Freizeichen" [Wireless to the ringing tone] page 36, 37, for example, a wireless feeder network for the wireless speech and data communication is described. The communication system described represents an RLL subscriber line in combination with a modern broadband infrastructure - e.g. "fiber to the curb" which can be implemented within a short time and without great expenditure instead of running wire-connected local loops. The network terminating units RNT allocated to the individual subscribers are connected to a higherlevel communication network, for example to the ISDNoriented landline network, via the "radio channel" transmission medium and the base station RBS.

> Due to the increasing spread of multimedia applications, high-bit-rate data streams must transmitted rapidly and reliably via communication networks, especially via wireless communication networks or, respectively, via mobile radio systems, and high demands are made on the radio transmission systems which are based on a transmission medium "radio channel" which is susceptible to interference difficult to assess with regard to the quality of transmission. A transmission method for transmitting broadband data streams - e.g. video data streams - is

represented by, for example, the OFDM (orthogonal frequency division multiplexing) transmission method based on a so-called multicarrier method. In the OFDM transmission technology,

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the information to be transferred or, respectively, the data stream to be transferred is divided respectively, converted to parallel form, to a number subcarriers sub-channels or within the channel, the information to be transferred in each case being transmitted at a relatively low data rate but in parallel in relatively superimposed form. The OFDM transmission technology is used, for example, digital terrestrial radio - also called digital audio DAB and for digital broadcasting terrestrial television - also called digital terrestrial video broadcasting DTVB.

The OFDM transmission method is described in greater 15 detail in the printed document "Mitteilungen der TU-Mobilfunktechnik Braunschweig, für Multimedia-Anwendungen" (Information as the Braunschweig technical university, mobile radio technology for multimedia applications), Professor H. Rohling, volume XXXI, issue 1-1996, in figure 6, page 46. In this method, 20 serial/parallel conversion is performed for modulation of the, for example, n subcarriers on the basis of a serial data stream in the transmitter, a binary code word with word length k - the word length k 25 is dependent on the modulation method used - being formed in each case for the ith OFDM block in time with block length T' and the jth subcarrier. From the code formed, the corresponding complex modulation symbols - also called transmit symbols in the text 30 follows formed with the - are aid transmitter-specific modulation method, one transmit symbol being allocated to each of the k subcarriers at any time i. The spacing of the individual subcarriers is defined by  $\Delta f = 1-T'$  which guarantees that the 35 individual subcarrier signals are orthogonal within the useful interval [0,T']. By multiplying the oscillations of the individual subcarriers by the corresponding modulation symbols or transmit symbols and

subsequently adding the modulation products formed, the corresponding discrete-time transmit signal is generated for the ith OFDM block in time. This transmit signal is calculated in sampled, i.e. discrete-time form by an inverse discrete Fourier transform - IDFT - directly from the modulation symbols or transmit symbols of the individual subcarriers

considered. To minimize intersymbol interferences, each OFDM block is preceded by a guard interval  $T_{\text{G}}$  in the time domain which causes an extension of the discretetime OFDM signal in the interval  $[-T_G,\ 0]$  - compare "Mitteilungen der TU-Braunschweig, Mobilfunktechnik für Multimedia-Anwendungen", figure 7. The inserted guard interval  $T_{\text{\scriptsize G}}$  advantageously corresponds to the maximum delay difference occurring between the individual propagation occurring paths during the transmission. By removing the added guard interval  $T_{\rm G}$  at 10 the receiver end, a disturbance of the ith OFDM block by, for example, the adjacent OFDM signal in time at time i-1 is avoided, so that the transmit signal is received in interval [0, T'] over all indirect paths 15 the orthogonality between the subcarriers retained to its full extent in the receiver. In the case of a large number of subcarriers - for example n = 256 subcarriers - and correspondingly long symbol periods T = T' +  $T_{\text{G}}$ , the period  $T_{\text{G}}$  is small compared with T so that the insertion of the guard interval 20 effectively does not significantly impair the bandwidth and only a small overhead is produced. After the transmit signal received at the input of the receiver is sampled in the baseband - by an A/D converter - and 25 after the useful interval has been extracted - i.e. after the guard interval  $T_{\text{G}}$  has been eliminated - the received transmit signal is transformed into frequency domain with the aid of a discrete Fourier transform - DFT -, i.e. the received modulation symbols 30 or, respectively, the received receive symbols determined. From the receive symbols determined, corresponding receive code words are generated by means of a suitable demodulation method, and from these, the received serial data stream is formed by 35 parallel/serial conversion. Avoiding intersymbol interference in OFDM transmission methods considerably reduces the computing effort in the respective receiver

as a result of which the OFDM transmission technology is used, for example, for the terrestrial transmission of digital television signals - for example for the transmission of broadband data

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streams with a transmission rate of 34 Mbit/s per radio channel.

To transmit the serial data stream to be transmitted with the aid of the OFDM transmission method, absolute 5 or, respectively, differential modulation methods and corresponding coherent or, respectively, incoherent, demodulation methods are used. Although the orthogonality of the subcarriers is retained in its 10 full extent by using the OFDM transmission method when transmitting the transmit signal formed via the "radio channel" transmission medium, both the phase and the amplitude of the transmitted discrete-frequency frequency-selective transmit signals are changed by the transmission characteristics of the radio channel. The influence of the radio channel on amplitude and phase takes place subcarrier-specifically on the individual subcarriers which in each case have a very narrow bandwidth; in addition, noise signals are additively superimposed on the transmitted useful signal. demodulation methods are used, coherent estimation is required which depends on considerable technical and economic expenditure for implementation depending on the quality requirements and also reduces the performance of the transmission system. Advantageously, differential modulation methods and corresponding incoherent demodulation methods are used in which any elaborate radio channel estimation can be dispensed with. In the case of differential modulation methods, the information to be transmitted not transmitted directly by selection modulation symbols or the discrete-frequency transmit symbols but by changing the discrete-frequency transmit symbols, which are adjacent in time, on the same subcarrier. Examples of differential modulation methods the 64-level 64-DPSK (differential phase shift keying) and the 64-DAPSK (differential amplitude and phase shift keying) methods. In the 64-DAPSK, both the

amplitude and simultaneously the phase are differentially modulated.

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AMENDED PAGES

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In the case of large delay differences between the individual signal paths, i.e. in the case of strong multipath propagation, different transmission-channelrelated attenuations may occur between the individual received subcarriers, with attenuation differences of up to 20 dB and more. The received subcarriers having attenuation values or, respectively, subcarriers having low S/N values - also called the signal power/noise power ratio - have a very large symbol error rate as a result of which the total bit error rate rises considerably over all subcarriers. In the case of subcarriers modulated with the aid of coherent modulation methods, it is already known to correct the attenuation losses caused by the frequencytransmission characteristics transmission medium - also called the transfer function H(f) - with the aid of the inverse transfer function also called 1/H(f) - at the receiving end, the frequency-selective attenuation losses being determined, for example, by evaluating reference pilot tones transmitted and in each case allocated to certain subcarriers. This method for equalizing transmission channel at the receiving end, however, causes a great increase in noise in the subcarriers with low S/N values. The bit error rate caused by the increase in noise in subcarriers with low S/N values cannot even be improved by introducing channel coding so that the total transmission channel capacity of the frequency-selective transmission medium, possible over all subcarriers, is not achieved in spite of equalization of the transmission channel at the receiving end.

In known methods for improving the transmission quality in multicarrier systems as are known, for example, from the document "Comparison between adaptive OFDM and single carrier modulation with frequency domain equalization", A. Czylwik, IEEE Vehicular Technology

Conference, USA, New York, vol. Conf. 47, 1997, pp. 865-869, XP000736731, ISBN: 0-77803-3660-7, the transfer function of the channel is estimated by means of information already transmitted. It is assumed here that the characteristics of the radio channel change only slowly in time. The estimated transfer function is transmitted back to the transmitter from the receiving station via signaling stations.

- 10 In a multicarrier method according to US 5 673 290, transmission parameters of a communication line are measured. The modulation method of each carrier is then adapted to the measured parameters.
- The invention is based on the object of achieving maximum utilization of the available transmission resources of the transmission medium during the transmission of information via a transmission medium having frequency-selective transmission characteristics. In particular, it is intended to
- achieve maximum utilization of the transmission resources of all multipath components or subcarriers when using a multicarrier method. The object is achieved

on the basis of a method and a communication arrangement according to the features of the preamble of claims 1 and 20 by their characterizing features.

5 the method according to the invention transmitting information via a transmission medium having certain transmission characteristics with the aid of a multicarrier method, the information to be transmitted is transmitted by a transmit signal having 10 a number of frequency-specific subcarriers, to a second unit via the transmission medium. The essential aspect of the method according to the invention consists in that frequency-selective transmission characteristics of the transmission medium are determined in the first 15 unit and then the frequency-specific subcarriers of the transmit signal are adapted to the frequency-selective transmission characteristics of the transmission medium which have been determined.

20 The essential advantage of the method according to the invention consists in that due to the equalization at the transmitting end and, respectively, adaptation of the frequency-specific subcarriers of the transmit signal to be sent out at the transmitting end to the frequency-selective transmission characteristics 25 of the transmission medium which have been determined, all subcarriers of the transmit signal transmitted via the transmission medium have the same receive levels or, respectively, signal amplitude values, and thus the 30 same signal power/noise power ratios S/N at the input of the second unit. In consequence, all subcarriers of the transmit signal can be modulated with the same number of modulation levels at the transmitting end so that maximum utilization of the transmission resources 35 of the individual subcarriers of the transmit signal, and thus maximum utilization of the transmission resources of the transmission medium is achieved. Due to the fact that the subcarriers of the transmit signal

are modulated with the same number of modulation levels, the expenditure for controlling the modulation and demodulation and especially the overhead in transmitting the modulation and demodulation control information - for example

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via a separate control channel of the transmission medium - is minimized. Advantageously, the frequency-selective channel equalization according to the invention at the transmitting end prevents the increase in level of the noise signal duly caused in the case of channel equalization at the receiving end and associated with an increase in bit error probability.

According to an advantageous embodiment of the method according to the invention, the frequency-selective transmission characteristics of the transmission medium are determined in the second unit and frequencyspecific subcarriers of another transmit signal formed with the aid of a multicarrier method and transmitted from the second unit to the first unit are adapted to the frequency-selective transmission characteristics of the transmission medium which have been determined claim 2. determining the frequency-selective By transmission characteristics both in the first unit and in the second unit, the channel equalization of the transmit signal the transmitting at end advantageously implemented both in the downstream direction and in the upstream direction, as a result of which the utilization of the available transmission resources of the transmission medium arranged between the first unit and the second unit is further improved.

The frequency-selective transmission characteristics are advantageously determined with the aid of the transmit signal transmitted to the first unit and, respectively, second unit via the transmission medium, in which arrangement at least one subcarrier of the transmit signal is used for transmitting at least one pilot signal - claim 6. Due to the transmission and evaluation of pilot signals at the receiving end, detection of the transmission characteristics of the transmission medium arranged between the first unit and the second unit can be achieved with little technical

and economic expenditure. In particular, the transfer function H(f) of the transmission medium and, in particular, the absolute value of the transfer function

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|H(f)| - claim 5 - can be determined in a particularly simple manner by evaluating received, frequency-selective pilot signals.

5 The at least one subcarrier of the transmit signal for least transmitting the at one pilot signal advantageously modulated by a phase modulation method, the pilot signal having a certain reference amplitude claim 7. Due to this advantageous embodiment, 10 subcarriers of the transmit signal utilized for the transmission of pilot signals are additionally used at least partially - for transmission of useful information or, respectively, digital data streams, thus achieving a further improvement in the utilization 15 of the transmission resources of the transmission medium.

In the case of transmit signals having a large number of subcarriers, the transmission medium has virtually transmission identical parameters for subcarriers. According to а further advantageous embodiment of the method according to the invention, and/or the amplitude-specific phase-specific transmission characteristics of adjacent subcarriers of incoming transmit are signal averaged determining the frequency-selective transmission characteristics of the transmission medium - claim 8. Due to the advantageous averaging over the transmission characteristics of a number of subcarriers, arranged adjacently in the frequency domain, of the transmit signal which have been determined, the number estimated values, thus the accuracy of the channel estimation the transmitting at end, is twodimensionally increased without the spectral distance to adjacent subcarriers becoming too large.

In the case of fast time variations of the transmission media exhibiting transmission characteristics or,

respectively, in the case of time-variant transmission media, time-selective, amplitude-specific and/or time-selective, phase-specific transmission characteristics of the transmission medium are determined, according to a further advantageous embodiment of the method according to the invention, in which method a number of frequency-selective, amplitude-specific

and/or frequency-selective, phase-specific transmission characteristics, which are determined over a period of time, are stored in the respective unit and then in each case the average over the stored frequencyselective, amplitude-specific and/or frequencyselective, phase-specific transmission characteristics formed. Following this, the frequency-specific subcarriers of the transmit signal are adapted to the transmission characteristics of the transmission medium which are averaged over time - claim 9. Due to the averaging of over а number frequency-selective transmission characteristics of the transmission medium which have been determined successively in time, the derivation of the time variations transmission characteristics of the transmission medium is corrected during the detection of the transmission characteristics, which further improves the quality of the channel estimation at the transmitting end and the channel equalization at the transmitting end.

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The frequency-selective transmission characteristics which have been determined are advantageously transmitted by the first unit to the second unit and frequency-specific subcarriers of the 25 transmit signal are adapted to the transmitted transmission characteristics of the transmission medium in the second unit - claim 10. Due to this advantageous variant of the embodiment, the transmission characteristics of the transmission medium arranged between the first unit and the second unit are only 30 determined in one unit and the result of determination is transmitted in parameterized form to the second unit as a result of which the expenditure for implementing the channel equalization 35 transmitting end is kept low both in the first unit and in the second unit.

According to a further advantageous embodiment, the signal power/noise power ratio S/N is determined for each subcarrier of the transmit signal in the determination of the frequency-selective transmission characteristics and the subcarriers are used for transmitting

information (dsu, dsd) in dependence on the signal power/noise power ratio S/N determined in each case claim 14. In the case of a signal power/noise power S/N measured below а limit value, corresponding subcarrier is advantageously not used for transmitting information claim 15. Due to the deactivation of the subcarriers having in each case an inadequate signal power/noise power ratio S/N and thus not being usable for information transmission, transmitting power of the remaining subcarriers used for information transmission can be correspondingly increased. Increasing the transmitting power of the subcarriers used for information transmission further reduces their bit error probability.

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Further advantageous embodiments of the method according to the invention can be found in the further claims.

In the text which follows, the method according to the invention will be explained in greater detail with reference to two drawings, in which:

Figure 1 shows centralized transceiver unit 25 implementing an OFDM transmission method, and Figure 2 shows a decentralized transceiver unit which is connected to a centralized transceiver unit according to figure 1 via the transmission medium "radio channel" and 30 implements an OFDM transmission method.

Figure 1 and figure 2 in this case show in a block diagram a first and second transceiver unit SEE1,2 which can be, for example, modular components of transmitting and receiving systems implementing wireless communication networks. Ιn the present exemplary embodiment, the first transceiver unit SEE1 shown in figure 1 is arranged in a base station BS

representing the center of a radio cell or of a radio area - not shown - and the second transceiver unit SSE2 shown in figure 2 is arranged in a decentralized wireless network terminating unit RNT

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representing a wireless subscriber line unit; figure 2 only shows a wireless network terminating unit RNT as representative of decentralized network terminating allocated to the base station BS respectively, the radio cell. To each decentralized wireless network terminating unit RNT, at least one decentralized communication terminal - not shown - can be connected which can be constructed, for example, as multimedia communication terminal or as ISDN-oriented telephone terminal. The decentralized wireless network terminating units RNT and the decentralized communication terminals connected to them can be connected to a higher-level communication connected to the base station BS - for example an ISDNoriented landline network or a broadband-oriented multimedia communication network, not shown, via the wireless transmission medium "radio channel".

The first transceiver unit SEE1 shown in figure 1 has a data input ED to which a digital serial data stream dsd 20 to be transmitted from the higher-level communication network to the decentralized wireless network terminating units RNT is conducted. The data input ED is connected to an input EO of an OFDM transmit unit SOB which is arranged in the first transceiver unit 25 SEE1 and in which a method, already explained in the introduction to the description, for forming an OFDM signal sd having n subcarriers is implemented. The OFDM unit SOB exhibits a modulator transmit MOD modulates the n subcarriers of the OFDM signal sd and 30 which is connected via n outputs AM1...n and n link lines to frequency-selective n inputs EF1...n, associated with the n subcarriers of the OFDM signal sd, of a transformation unit IFFT for performing a 35 discrete inverse fast Fourier transformation. transformation unit IFFT is used for generating from the subcarrier-specific modulation symbols respectively, transmit symbols SS1...n conducted from a

modulator MOD to the frequency-selective inputs  ${\tt EF1...n}$  of the transformation unit  ${\tt IFFT}$ 

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a discrete-time OFDM signal. In the OFDM transmit unit SOB, other units, not shown, e.g. parallel/serial converters, digital/analog converters, filter units, amplitude limiters - for converting the discrete-time OFDM signal into the analog OFDM signal sd, for example by adhering to spectrum masks defined for wireless communication networks or mobile radio systems and stipulated by ETSI standardization, are arranged. The OFDM transmit unit SOB is connected via an output AO to an input EH of a radio-frequency transmit unit HS which is connected via an output AH and via an antenna output AS of the first transceiver unit SEE1 to a transmit antenna SA arranged in the external area of the base station BS. The analog OFDM transmit signal sd is amplified by a transmit amplifier, not shown, arranged in the radio-frequency transmit unit HS, is mixed into radio-frequency or the RFband and subsequently transmitted via the transmit antenna SA and via the wireless transmission medium "radio channel" to the decentralized network terminating units RNT arranged in the radio cell of the base station BS - also called the downstream direction.

Furthermore, an OFDM receiving unit EOB is arranged in the first transceiver unit SEE1, which is connected via 25 input EO to an output AH of a radio-frequency receiving unit HE. The radio-frequency receiving unit HE has an input EH which is connected to a receiving antenna EA arranged in the external area of the base station BS, via an antenna input ES of the first 30 transceiver unit SEE1. An OFDM signal su transmitted by a decentralized network terminating unit RNT to the base station BS and received at the receiving antenna EA of the base station BS is down converted to the intermediate-frequency band or, 35 respectively, baseband by conversion means, not shown, arranged in radio-frequency receiving unit the HEand

forwarded to the input EO of the OFDM receiving unit EOB.

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In the OFDM receiving unit EOB, a transformation unit FFT for implementing a discrete fast Fourier transform and having a number of frequency-selective outputs is arranged, each frequency-selective output AF1...n AF1...n being associated with one subcarrier of the received OFDM signal. After previous discretization and digitization with the aid an of analog/digital converter, not shown, the OFDM signal su received and down converted into the intermediate-frequency band or baseband, respectively, is transformed into frequency domain with the aid of the fast Fourier transform implemented by the transformation unit FFT, i.e., the modulation symbols or receive symbols esl...n of the respective subcarriers contained in the OFDM signal are determined and then forwarded corresponding frequency-selective outputs AF1..n of the transformation unit FFT. The outputs AF1...n of the transformation unit FFT are connected to n inputs EM1..n of a demodulator DMOD via n link lines. From the receive symbols es1...n forwarded to the demodulator DMOD from the transformation unit FFT, the corresponding receive code words transmitted via the respective subcarriers are determined with the aid of a demodulation method implemented in the demodulator DMOD. The receive code words which have been determined are then converted with aid of a parallel/serial converter, not associated with the OFDM receiving unit EOB, serial digital data stream deu which is forwarded, for example, to the higher-level communication network via a data output AD of the first transceiver unit SEE1.

The second transceiver unit SEE2, arranged in the decentralized wireless network terminating unit RNT according to figure 2, has an OFDM receiving unit EON which is connected via an input EO to an output AH of a radio-frequency receiving unit HE arranged in the second transceiver unit SEE2. The radio-frequency receiving unit HE is connected via an input EH to a

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receiving antenna EA arranged in the external area of the network terminating unit RNT. The OFDM signal sd transmitted by the base station BS to the network terminating unit RNT and received at the receiving antenna EA is down converted into the intermediatefrequency band or, respectively, the baseband conversion means, not shown, arranged in the radiofrequency receiving unit HE, and then forwarded to the input EO of the OFDM receiving unit EON. In the OFDM receiving unit EON, a transformation unit implementing a discrete fast Fourier transform exhibiting a number of frequency-selective outputs AF1...n is arranged, each frequency-selective output AF1...n being associated with one subcarrier of received OFDM signal sd. Using the fast Fourier transform implemented by the transformation unit FFT, the OFDM signal sd received and down converted into the intermediate-frequency band or baseband, respectively, is transformed into the frequency domain after previous discretization and digitization with the aid of converter, analog/digital not shown, i.e. modulation symbols or receive symbols esl...n of the respective subcarriers contained in the received OFDM signal sd are determined and then forwarded to the corresponding frequency-selective outputs AF1...n of the transformation unit FFT. The n outputs AF1...n of the transformation unit FFT are connected via n link lines to n inputs EK1...n of a channel estimation unit is connected to corresponding frequencyselective inputs EM1...n of a demodulator DMOD arranged in the OFDM receiving unit EON via n outputs AK1...n and link lines. The frequency-selective receive symbols es1..n transmitted by the transformation unit FFT to the channel estimation unit KS are forwarded to the inputs EM1...n of the demodulator DMOD. channel estimation unit KS, first evaluating means UF are arranged by means of which the frequency-selective

amplitude-specific transmission channel characteristics of the transmission medium "radio channel" are determined from the receive symbols es1...n conducted to the channel

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estimation unit KS, i.e. the frequency-selective amplitude distortions - also called amplitude response or absolute value of the transfer function of the radio channel |H(f)| - caused by the transmission medium "radio channel" are determined for each subcarrier. Furthermore, the S/N ratio is determined for subcarrier from the incoming receive symbols es1...n by means of further evaluating means SN arranged in the channel estimation unit KS. From the frequencyselective amplitude response |H(f)| determined and the frequency-selective S/N determined, ratio information signal is transmitting the results of the determination is generated by signal generating means, not shown, arranged in the channel estimation unit KS, which information signal is forwarded to a control output SA of the OFDM receiving unit EON via an output ASK of the channel estimation unit KS.

The frequency-selective receive symbols es1...n 20 forwarded to the demodulator DMOD from the channel estimation unit KS are converted into the receive code words transmitted via the respective subcarriers by a demodulation method implemented in the demodulator DMOD. code words determined, From the receive 25 serial/digital data stream ded is then formed with the aid of a parallel/serial converter, not shown, which is associated with the OFDM receiving unit EON, which data stream is conducted to a data output AS of the second transceiver unit SEE2 via an output AO of the OFDM 30 receiving unit EON and then transmitted, is example, to a decentralized destination communication terminal, not shown. which is connected to the decentralized network terminating unit RNT.

35 The control output SA of the second transceiver unit SEE2 arranged in the decentralized network terminating unit RNT is connected via a link line VL to a control input SE of an OFDM transmit unit SON arranged in the

second transceiver unit SEE2, in which transmit unit a  $method\ for$ 

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forming an OFDM signal su to be transmitted in the direction and having n subcarriers implemented. The OFDM transmit unit SON is connected via an input EO to a data input ES of the second transceiver unit SEE2 to which, for example, a digital serial data stream dsu to be transmitted from a decentralized communication terminal via the wireless transmission medium "radio channel" to the higher-level communication network is conducted. The digital serial data stream dsu is divided into n parallel sub-data streams, or converted in parallel form, respectively, by a serial/parallel converter, not shown, which is associated with the OFDM transmit unit SON, each of the n sub-data streams being allocated to one of the n subcarriers of the OFDM signal. The n parallel sub-data streams are conducted to a modulator MOD arranged in the OFDM transmit unit SON and modulating subcarriers of the OFDM signal os, the incoming n subdata streams being converted into n frequency-selective symbols or transmit symbols modulation associated with the n subcarriers of the OFDM signal by a modulation method implemented in the modulator MOD. The n frequency-selective transmit symbols ssl...n formed are forwarded to n outputs AK1...n of the modulator MOD which is connected to n frequencyselective inputs EE1...n of a channel equalizer unit EZ, which are associated with the n subcarriers of the OFDM signal su. The channel equalizer unit EZ has a control input ESS which is connected to the control input SE of the OFDM transmit unit SON and is thus connected to the output ASK of the channel estimation unit KS arranged in the OFDM receiving unit EON via the link line VL.

35 The channel equalizer unit EZ has means for adapting the transmit symbols ssl...n formed by the modulator MOD and forwarded to the channel equalizer unit EZ to

the frequency-selective amplitude-specific transmission channel characteristics of the transmission medium "radio channel" determined in the OFDM receiving unit EON - also called "equalization"

of the amplitude response" or "amplitude equalization", i.e. the amplitudes of the frequency-selective transmit symbols ssl...n are corrected in dependence on the information signal is transmitted to the control input For example, the frequency-selective transmit 5 symbols ssl...n are multiplied by the inverse of the absolute value of the transfer function of the radio channel determined - in this case 1/|H(f)|. corrected frequency-selective transmit symbols ss'1...n are forward to n outputs AZ1...n of the channel equalizer unit EZ which are connected to corresponding n frequency-selective inputs EF1...n, allocated to the n subcarriers of the OFDM signal, of a transformation IFFT for performing a discrete inverse Fourier transformation. Using the transformation unit 15 IFFT, a discrete-time OFDM signal is calculated from the subcarrier-specific and corrected transmit symbols ss'1...n forwarded from the channel equalizer unit EZ the frequency-selective inputs EF1...n transformation unit IFFT. In the OFDM transmit unit 20 SON, further units, not shown, e.g. parallel/serial converters, digital/analog converters, filter units, amplitude limiters - for converting the discrete-time OFDM signal into an analog OFDM transmit signal su, for example by adhering to the aforementioned ETSI spectrum 25 masks, are arranged. The OFDM transmit unit SON is connected via an output AO to an input EH of a radiofrequency transmit unit HS which is connected to a transmit antenna SA arranged in the external area of the decentralized network terminating unit RNT via an 30 output AH and via an antenna output AS of the second transceiver unit SEE2. The analog OFDM transmit signal su is amplified by a transmit amplifier, not shown, which is arranged in the radio-frequency transmit unit HF, is converted into the radio-frequency band or RF 35 band and then transmitted to the base station BS via transmit antenna SA and via the wireless the

transmission medium "radio channel" in the upstream direction.

It should be noted that the exemplary embodiment described only represents a functional description of the method according to the invention, embodiment of the first and second transceiver unit SEE1,2 described in the exemplary embodiment can also by alternative variants implemented radio-frequency example, the embodiment. For transmitting unit and receiving unit HS, HE arranged in each case in a transceiver unit SEE1,2 can be replaced by a radio-frequency converter unit, not shown, where the respective transmitting and receiving paths are separated by means of a switch, not shown.

In the text which follows, the method according to the invention for maximum utilization of the transmission resources provided by the wireless transmission medium "radio channel" is explained in greater detail.

The radio-frequency transmitting and receiving units HS, HE arranged in the first and second transceiver 20 unit SEE1,2 are designed in such a manner that OFDM sd, su transmitted in the downstream and signals upstream direction are transmitted in the TDD (time division duplex) transmission method. In the transmission method, the information to be transmitted 25 the base station BS and the decentralized network terminating units RNT alternately transmitted with the aid of signal bursts of a particular extent in time which are sent out in method, Ιn this frequency range. 30 same transceiver units SEE1,2 arranged in the network terminating units RNT and in the base station BS are alternately switched to transmit and receive mode. When the TDD transmission method is used, the wireless transmission medium "radio channel" exhibits reciprocal 35 characteristics, i.e. using the OFDM signal sd sent out in bursts in the downstream direction by the base station BS and received by a decentralized network terminating unit RNT, it is possible to determine or, respectively, estimate the frequency-selective

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amplitude-specific and/or phase-specific transmission channel characteristics of the transmission OFDM to channel" for the signal be "radio direction by the upstream the transmitted in decentralized network terminating unit RNT.

According to a first variant of the embodiment of the method according to the invention, a differential phase modulation method - differential phase shift keying, for example a 64 DPSK, is implemented in the modulator MOD arranged in the OFDM transmit unit SOB of the first transceiver unit SEE1. When a differential modulation method is used, no carrier recovery of the received OFDM signal sd and no precise recovery of the bit clock is required in the subsequent demodulation in the corresponding receiving unit EON, or, OFDM respectively, the demodulator DMOD arranged therein. To provide for a determination of the frequency-selective transmission characteristics of the transmission medium "radio channel", also called channel estimation in the text which follows, at the receiving end, the modulator MOD arranged in the base station BS is designed in such a manner that a particular number of the transmit symbols ssl...n present at the n outputs AM1...n of the designed as pilot symbols modulator MOD are i.e. some of the defined reference amplitude, subcarriers of the OFDM signal sd to be transmitted in the downstream direction are used for transmitting in each case a pilot tone or pilot signal having a defined 10% amplitude. For example, reference subcarriers of the OFDM signal sd, which can be used for information transmission, are used for transmitting pilot tones.

From the OFDM signal received at the receiving antenna EA of the network terminating unit RNT, the transmitted receive symbols esl...n of the respective subcarriers of the received OFDM signal sd are determined by the

transformation unit FFT arranged in the OFDM receiving unit EON and forwarded to the channel estimation unit  ${\tt KS.}$ 

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From the receive symbols es1...n present at the inputs EK1...n and designed as pilot symbols, the frequencyselective, amplitude-specific transmission characteristics frequency-selective or attenuation characteristics of the transmission medium channel" FK arranged between the base station BS and the decentralized wireless network terminating unit RNT are determined, i.e. the amplitude response or absolute value of the transmission function [H(f)] transmission medium "radio channel" FK is determined by the first evaluating means HF arranged in the channel estimation unit KS. With the aid of the information signal is, the transmission characteristics of the transmission medium "radio channel" FK which have been determined are then transmitted to the control input SE of the OFDM transmitting unit SON arranged in the decentralized network terminating unit RNT via the link line VL. Furthermore, the receive symbols forwarded from the channel estimation unit KS to the n inputs EM1...n of the demodulator DMOD are converted in the OFDM receiving unit EON, with the aid of the differential or, respectively, incoherent demodulation method implemented in the demodulator DMOD, into the receive code words transmitted via the respective subcarriers of the OFDM signal sd, from which code words the serial digital data stream ded conducted to the output AS of the second transceiver unit SEE2 is formed.

30 According to the invention, the OFDM signal to be transmitted to the base station BS in the upstream direction is generated dependence in the transmission channel characteristics ofthe transmission medium "radio channel" determined by the OFDM receiving unit EON and forwarded to the OFDM 35 transmitting unit SON. For this purpose, the digital serial data stream dsu received at the input EO of the

OFDM transmitting unit SON arranged in the second transceiver unit SEE2 and to be transmitted to the base station BS is converted into parallel form and converted into the transmit symbols ssl...n associated with the n subcarriers of the OFDM signal, with the aid of the modulator MOD. The transmit symbols ssl...n formed are forwarded to the n inputs

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EE1...n of the channel equalizer unit EZ and adapted to the frequency-selective amplitude-specific transmission characteristics of the transmission "radio channel" FK, which have been determined, by the correction means 1/HF arranged in the equalizer unit also called amplitude equalization at the transmitting end. The amplitude equalization at the transmitting end implemented by the correction means 1/HF takes place in such a manner that the transmit symbols ssl...n of the individual subcarriers of the OFDM signal su are multiplied by a factor representing the absolute value of the inverse of the transfer function  $H_n(f)$  determined - in this case  $1/|H_n(f)|$  for  $0 \le n \le N-1$ , n representing the length of the Fourier transform implemented in the transformation unit IFFT and  $H_n(f)$  representing the transfer function of the nth subcarrier of the OFDM signal.

frequency-selective amplitude equalization The according to the invention, at the transmitting end, 20 which has been described, has the effect that all subcarriers of the OFDM signal su transmitted to the station BS from the decentralized terminating unit RNT in the upstream direction have the same receive levels or signal amplitude values when 25 they arrive at the receiving antenna EA of the base station BS. Since all subcarriers of the OFDM signal su received in the base station BS have the same receive level, the signal power/noise power ratio S/N 30 identical for all subcarriers. Thus, all subcarriers can be modulated with the same number of modulation levels at the transmitting end, i.e. with the aid of transmitting unit SON arranged OFDM in the decentralized network terminating unit RNT with the aid of the modulator 35 respectively, arranged there, thus achieving maximum utilization of individual transmission resources of the the subcarriers of the OFDM signal su. For example, if the

decentralized network terminating units RNT are arranged close to the base station BS, the individual subcarriers of the OFDM signal su to be transmitted to the base station BS in the upstream direction can be modulated with the aid of the 64-QAM (quadrature amplitude modulation). As the distance between the decentralized network terminating unit RNT and the base station

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BS increases, i.e. with increasing attenuation characteristics of the transmission medium FK, the number of modulation levels channel" reduced. Due to the identical S/N ratio of subcarrier of the OFDM signal su received in the base station BS, no subcarrier-individual modulation levels is required for controlling the demodulation of the received OFDM signal so that the control effort for modulating and demodulating the OFDM signal su is advantageously minimum. By avoiding the requirement of subcarrier-individual number of modulation levels, no additional overhead is generated for transmitting additional control information controlling the subcarrier-individual modulation and demodulation, thus preventing the transmission capacity of the transmission medium "radio channel" from being reduced.

As an alternative, the transmission power of the OFDM 20 signal su to be sent out can be correspondingly reduced instead of increasing the number of modulation levels of the OFDM signal su to be sent in the upstream direction. The transmission power can be lowered, for example, in the radio-frequency transmit unit HS of the decentralized network terminating unit RNT. Lowering 25 transmission power minimizes the interference of the subcarriers of OFDM signals sd, su sent within a radio cell - also called intercell interference (ICI) - and, as a result, the transmission 30 capacity of the total system arranged within a radio cell is increased.

According to a further advantageous variant of the embodiment of the method according to the invention, the channel estimation unit KS of the OFDM receiving unit EON arranged in the decentralized network terminating unit RNT has further evaluating means SN

for detecting the subcarrier-individual S/N ratios of the respective subcarriers of the received OFDM signal sd. The subcarrier-individual S/N ratios detected in each case with the aid of the further evaluating means S/N are additionally transmitted, in addition to the detected amplitude-specific transmission

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characteristics H(f) of the transmission medium FK with the aid of the information signal is via the link line VL to the OFDM transmitting unit SON arranged in the decentralized network terminating unit RNT or, respectively, to the channel equalizer unit EZ arranged there.

In the channel equalizer unit EZ further correction means, not shown here, are arranged by means of which the subcarriers having unfavorable S/N ratios or the subcarriers having an S/N ratio which is measured below a limit value, are deactivated in dependence on the S/N ratios transmitted to the control input ESS, and are not thus used for information transmission. For example, in the case of decentralized network terminating units RNT which are at a large distance from the base station BS, only every second or fourth subcarrier of the OFDM signal su to be sent to the base station BS is used for information transmission, the transmission power of the subcarriers used information transmission being correspondingly increased. Increasing the transmission power of the subcarriers used for information transmission further reduces the bit error probability. Deactivated subcarriers of the received OFDM signal can be detected by simple amplitude calculation in the OFDM receiving unit EON, EOB.

Since the determination of the frequency-selective amplitude-specific transmission characteristics of the transmission medium "radio channel" FK, implemented in the decentralized network terminating unit RNT at the transmitting end, only requires the evaluation of the amplitude value of the pilot symbols or pilot tones transmitted the from base station BS decentralized network terminating unit RNT by the channel estimation KS unit arranged in the decentralized network terminating unit RNT, the phase

information of the pilot symbols or pilot tones of the OFDM signals sd, sent from the base station BS to the decentralized network terminating unit RNT, can be additionally used

for transmitting the digital information dsd. The subcarriers of the OFDM signal sd which transmits pilot symbols or pilot tones can be modulated, for example, with the aid of an absolute or differential phase modulation method with defined reference amplitude as a result of which an advantageous utilization of the transmission capacity of the transmission medium "radio channel" is achieved.

The OFDM transmission units SOB, SON arranged in the 10 base station BS or decentralized network terminating unit RNT or, respectively, the modulators MOD arranged are designed in such a manner that subcarriers of the OFDM signals sd, su which are not used for the transmission of pilot tones are modulated 15 with a coherent or absolute modulation method, for example an m-level QAM, since m-level QAM modulation methods can also be used in transmission media with unfavorable S/N ratios.

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When coherent m-level modulation methods are used, additional means, not shown, for the channel estimation or channel equalization at the receiving end, required according to the prior art, especially for phase equalization, i.e. correction of the phase angles, of the subcarriers received in each case of the received OFDM signal sd, su are required in the corresponding OFDM receiving units EON, EOB arranged in the base station BS and, respectively, the decentralized network terminating unit RNT. To provide for correction of the phase angles of the incoming subcarriers in the OFDM receiving unit EON, EOB, the first subcarrier of the OFDM signal sd, su is transmitted with a defined phase, e.g.  $\phi$  = 0 degrees, by the OFDM transmitting unit SOB, SON. The phase of the first subcarrier is rotated by, for example,  $\Delta \phi$  by the transmission medium channel" FK. The second subcarrier arranged closely adjacently to the first subcarrier is also rotated by

 $\Delta\phi$  in this process. To restore the original phase angles of the transmitted OFDM signal sd, su, the second subcarrier must be multiplied by the complex factor  $e^{-j\Delta\phi}$ 

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by the correction means arranged in the OFDM receiving unit EON, EOB. The phase shift  $\Delta \phi$  of the first subcarrier caused by the transmission medium "radio channel" FK can be detected, and the phase angle of the adjacent second subcarrier of the received OFDM signal can be correspondingly corrected with the aid of the correction means due to the pilot tone with defined transmitting phase transmitted via the first subcarrier. After the correction of the phase angle or equalization at the receiving information transmitted via the second subcarrier is decided with the aid of the demodulator. The phase shift of the second subcarrier is determined dependence on the result of the decision. The phase angle of the third subcarrier is then corrected in the manner described by means of the phase shift of the second subcarrier determined, etc.

According to a further advantageous embodiment of the method according to the invention, the OFDM receiving unit EOB arranged in the base station BS also has a channel estimation unit KS, not shown, by means of which the receive symbols esl...n transmitted with the aid of the received OFDM signal su are evaluated and 25 from this the frequency-selective, amplitude-specific radio channel characteristics of the transmission medium "radio channel" FK are evaluated in the manner described and are transmitted via a link line, not shown, to a further channel equalizer unit, not shown, which is arranged in the OFDM transmitting unit SOB of 30 base station BS. Due to this advantageous embodiment, the OFDM signals sd to be transmitted to the decentralized network terminating units RNT from the base station BS in the downstream direction, and 35 the subcarriers contained therein, can also be adapted to the transmission characteristics of the transmission medium "radio channel". The equalization amplitude response at the transmitting end achieved in

this manner, both in the downstream direction and in the upstream direction, further improves the utilization of the transmission capacity of the transmission

medium "radio channel" FK. However, this presupposes that some of the subcarriers of the OFDM signal su to be transmitted from the decentralized terminating unit RNT to the base station BS are used transmitting pilot symbols or pilot tones already described. The modulator MOD arranged in the OFDM transmitting unit SON of the decentralized network terminating unit RNT is advantageously designed in such a manner that the subcarriers of the OFDM signal su 10 which are used for the transmission of pilot symbols are modulated with the aid of a phase modulation method - for example a QPSK modulation method - with defined reference transmit amplitude. using Ву modulation, the pilot symbols or pilot tones 15 transmitted in the upstream direction are at least partially also used for transmitting the digital data stream dsu.

To increase the accuracy of channel estimation in the decentralized network terminating unit RNT and possibly in the base station BS, the subcarriers of an OFDM signal sd, su which in each case transmit pilot tones or pilot symbols can be transmitted with increased power.

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According to a further variant of the embodiment, the channel estimation at the transmitting end is only performed by the channel estimation unit KS arranged in the decentralized network terminating unit RNT and then frequency-selective, amplitude-specific transmission characteristics of the transmission medium "radio channel" which have been determined transmitted in parameterized form to the base station BS or, respectively, the OFDM transmitting unit SOB arranged there. The equalization of the response of the subcarriers of the OFDM signal sd to be transmitted in the downstream direction from the base station BS is carried out by a channel equalizer unit,

not shown, which is arranged in the OFDM transmitting unit SOB of the base station BS, with the aid of the parameterized transmission characteristics transmitted.

Advantageously, only the changes of the transmission characteristics with time are transmitted to the base station BS and thus the overhead during the transmission of the transmission characteristics is minimized.

In the case of OFDM signals sd, su, having a large number of subcarriers, the transmission medium "radio channel" FΚ has virtually identical transmission adjacent characteristics for subcarriers. Advantageously, in addition to the directly adjacent subcarriers, the adjoining subcarriers in the frequency range are also taken into consideration for determination of the frequency-selective transmission characteristics of the transmission medium channel estimation at the transmitting end performed in an OFDM receiving unit EON, EOB, i.e. an average is formed over determined transmission characteristics of a number of subcarriers arranged adjacently in the frequency range. The averaging has the advantage that the number of estimated values, and thus the accuracy of the channel estimation at the transmitting end is two-dimensionally increased without the distance from adjacent subcarriers becoming too great.

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According to a further advantageous embodiment of the method according to the invention, in the case of radio channels exhibiting a fast change with time - also called time-variant transmission channels or radio channels, the OFDM signals following in time, i.e. those received at the receiving antenna EA within a certain period of time or, respectively, the receive symbols esl...n contained therein, are also taken into consideration in the channel estimation implemented in the channel estimation unit KS. Implementation of this variant of the embodiment requires the storing of the receive symbols esl...n received successively in time

or storing of the frequency-selective transmission characteristics determined in each case, in a memory, not shown, which is arranged in the first or second transceiver unit SEE1, 2. Averaging over a number of receive symbols es1...n in each case

belonging to a subcarrier and received successively in time, within the channel estimation at the transmitting end performed in the channel estimation corrects the first generation of the changes with time of the transmission characteristics of the transmission medium "radio channel" FK during the detection of the transmission characteristics. Advantageously, subcarriers arranged symmetrically about the current subcarrier in the frequency domain or, respectively, the receive symbols es1...n transmitted via subcarrier, are taken into consideration during the averaging. As an alternative, the averaging can also be done in the channel equalizer unit EZ of the OFDM transmitting unit SON.

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The determination of the frequency-selective, amplitude-specific transmission characteristics of the transmission medium "radio channel" FK, performed with the aid of the evaluating means H(f) in the channel estimation unit KS, also called calculation of the estimated amplitude values, is relatively complex. The amplitude values of all received receive symbols es1...n of an OFDM signal sd are calculated following the calculation rule

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$$\sqrt{\underline{\mathsf{T}}^2 + \underline{\mathsf{Q}}^2} = \mathsf{Amplitude}$$

where I is the imaginary part and Q is the real part of a received complex receive signal esl...n. The respective frequency-selective estimated amplitude values can be calculated serially, at least partially, so that the technical complexity or hardware complexity for calculating the estimated amplitude values is kept low.

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According to an advantageous embodiment, the estimated amplitude values are calculated from the frequency-

selective receive symbols es1...n received in each case, with the aid of values stored in a table called look-up table. For this purpose, the receive values of the imaginary part I and of the real part Q of a receive symbol es1...n,

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which are in each case possible, are combined to form a table address and are stored in the look-up table. Furthermore, to each stored table address, associated correction factor -  $1/|H_n(f)|$  in this case is allocated and stored in the corresponding table entry. The correction factors allocated to respective table addresses represent the values by which the respective transmit symbols ssl...n of the OFDM signal sd, su to be sent out are multiplied. The 10 extent or, respectively, number of entries of the looktable is advantageously kept small if restricted to one quadrant of the complex transmit symbols ssl...n having negative imaginary and real part values being inverted before the amplitude 15 equalization at the transmitting end.

According to a further advantageous embodiment, the multiplication of the subcarriers or, respectively, of the transmit symbols ssl...n to be transmitted via the subcarriers, by the correction factor determined -  $1/|H_n(f)|$  in this case, is implemented by an addition or, respectively, subtraction with values also stored in a look-up table. This advantageous embodiment further reduces the computing effort for correcting the transmit symbols during the amplitude equalization.

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### Patent claims

- 1. A method for transmitting information via a transmission medium exhibiting particular transmission characteristics, with the aid of a multicarrier method, in which the information to be transmitted is transmitted by a first unit to a second unit via the transmission medium by means of a transmit signal exhibiting a number of frequency-specific subcarriers, characterized in that in the first unit (RNT),
  - the frequency-selective transmission characteristics of the transmission medium (FK) are determined by means of a further transmit signal (sd) sent out by the second unit (BS) and exhibiting at least one frequency-specific subcarrier, and
  - the frequency-specific subcarriers of the transmit signal (su) are adapted to the frequency-selective transmission characteristics of the transmission medium (FK) which have been determined.
- 25 2. The method as claimed in claim 1, characterized in that in the second unit (BS),
  - the frequency-selective transmission characteristics of transmission medium (FK) are determined, and
- frequency-specific subcarriers of a further transmit signal (sd) formed with the aid of a multicarrier method and transmitted from the second unit to the first unit (BS, RNT) are adapted to the frequency-selective transmission characteristics of the transmission medium (FK)

which have been determined.

3. The method as claimed in claim 1 or 2, characterized in that the frequency-selective amplitude-specific and/or frequency-selective phase-

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specific characteristics of the transmission medium (FK) are determined as transmission characteristics.

- 5 4. The method as claimed in one of claims 1 to 3, characterized in that the transfer function H(f) of the transmission medium (FK) is determined during the determination of said transmission characteristics of the transmission medium (FK).
- 5. The method as claimed in claim 4, characterized in that the amplitude-specific transmission characteristics of the transmission medium (FK) are represented by the absolute value of the transfer function |H(f)| as determined.
  - 6. The method as claimed in one of the previous claims, characterized in that the frequencyselective transmission characteristics determined with the aid of the transmit signal su) transmitted to the first respectively, second unit (RNT, BS) via transmission medium (FK), where at least subcarrier of the transmit signal (sd, utilized for transmitting at least one pilot signal.
- 7. The method as claimed in claim 6, characterized in that the at least one subcarrier of the transmit signal (sd, su) is modulated by a phase modulation method for transmitting the at least one pilot signal, the pilot signal exhibiting a particular reference amplitude.
- 35 8. The method as claimed in one of claims 3 to 7, characterized in that the amplitude-specific and/or phase-specific transmission

characteristics of adjacent subcarriers of the incoming transmit signal (sd, su) are averaged for determining the frequency-selective transmission characteristics of the transmission medium (FK).

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- 9. The method as claimed in one of claims 3 to 8, characterized in that
  - time-selective, amplitude-specific and/or timeselective phase-specific transmission characteristics of the transmission medium (FK) are determined, a number of frequency-selective, amplitude-specific and/or frequency-selective, phase-specific transmission characteristics determined over a period of time being stored in the respective unit (RNT, BS) and then in each average case the value over the frequency-selective, amplitude-specific and/or frequency-selective, phase-specific transmission characteristics being formed,
- the subcarriers of the transmit signal (su, sd) to be transmitted are adapted to the transmission characteristics of the transmission medium (FK) which are averaged over time.
- 25 10. The method as claimed in claim 1 and one of claims 3 to 9, characterized in that
  - the frequency-selective transmission characteristics determined are transmitted by the first unit (RNT) to the second unit (BS), and
  - that the frequency-specific subcarriers of the further transmit signal (sd) are adapted to the transmitted transmission characteristics of the transmission medium (FK) in the second unit (BS).
  - 11. The method as claimed in claim 10, characterized in that only the changes with time of the

transmission characteristics are transmitted by the first unit (RNT) to the second unit (BS).

12. The method as claimed in one of claims 4 to 11,

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characterized in that in the adaptation of the transmit signal (su, sd) to the transmission characteristics of the transmission medium (FK), the subcarriers of the transmit signal (su, sd) are multiplied by the inverse of the transfer function 1/H(f) determined or by the inverse of the absolute value of the transfer function 1/H(f) determined.

10 13. The method as claimed in one of the previous claims, characterized in that the transmit signals (su, sd) transmitted between the first and second unit (RNT, BS) are transmitted in a time division duplex transmission method TDD.

14. The method as claimed in one of the previous claims, characterized in that

- in the determination of the frequency-selective transmission characteristics, the signal power/noise power ratio S/N is determined for each subcarrier of the transmit signal (su, sd), and
- that the subcarriers of the transmit signal (su, sd) are utilized for the transmission of information (dsu, dsd) in dependence on the signal power/noise power ratio S/N determined in each case.
- 15. The method as claimed in claim 14, characterized in that with a signal power/noise power ratio S/N measured below a limit value, the corresponding subcarrier is not utilized for transmitting information (dsu, dsd).
- 35 16. The method as claimed in one of claims 7 to 15, characterized in that all subcarriers of the transmit signal (su, sd) which are not utilized

for transmitting pilot signals are modulated by the same

number of modulation levels, the number of modulation levels being determined by the noise power/useful power ratio S/N determined for the transmission medium (FK).

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- 17. The method as claimed in one of the preceding claims, characterized in that the multicarrier method is implemented by an OFDM (orthogonal frequency division multiplex) transmission method or by a transmission method based on discrete multitones (DMT).
- 18. The method as claimed in one of the preceding claims, characterized in that the transmission medium (FK) is designed as a wireless radio channel or a line or wire connected transmission channel.
- 19. The method as claimed in claim 18, characterized in that the information is transmitted via power supply lines.
- 20. A communication arrangement for transmitting information via a transmission medium according to a method as claimed in one of claims 1 to 19, arranged between a first and second unit and exhibiting particular transmission characteristics, comprising the following which are arranged in the first unit:
- conversion means for converting the information to be transmitted into a transmit signal exhibiting a number of frequency-specific subcarriers, with the aid of a multicarrier method, and
- transmitting means for transmitting the transmit signal via the transmission medium to the second unit,

characterized in that in the first unit (RNT)

- evaluating means (KS) for determining frequency-selective transmission characteristics of the transmission medium (FK), and
- adaptation means (EZ) for adapting the frequency-specific subcarriers of the transmit signal (su) to the frequency-selective transmission characteristics of the transmission medium (FK), which have been determined are arranged.

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- 21. The communication arrangement as claimed in claim 20, characterized in that in the second unit (BS),
  - conversion means (SOB) for converting the information (dsd) to be transmitted into a further transmit signal (sd) exhibiting a number of frequency-specific subcarriers with the aid of a multicarrier method,
  - evaluating means for determining the frequencyselective transmission characteristics of the transmission medium (FK),
  - adaptation means for adapting the frequencyspecific subcarriers of the further transmit signal (sd) to the frequency-selective transmission characteristics of the transmission medium (FK) which have been determined, and
  - transmitting means (HS) for transmitting the transmit signal (sd) via the transmission medium (FK) to the first unit (RNT) are arranged.

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22. The communication arrangement as claimed in claim 20 or 21, characterized in that the evaluating means (KS) are designed in such a manner that the frequency-selective amplitude-specific and/or frequency-selective phase-specific characteristics of the transmission medium (FK) are determined as transmission characteristics.

Abstract

Method and communication arrangement for transmitting information with the aid of a multicarrier method.

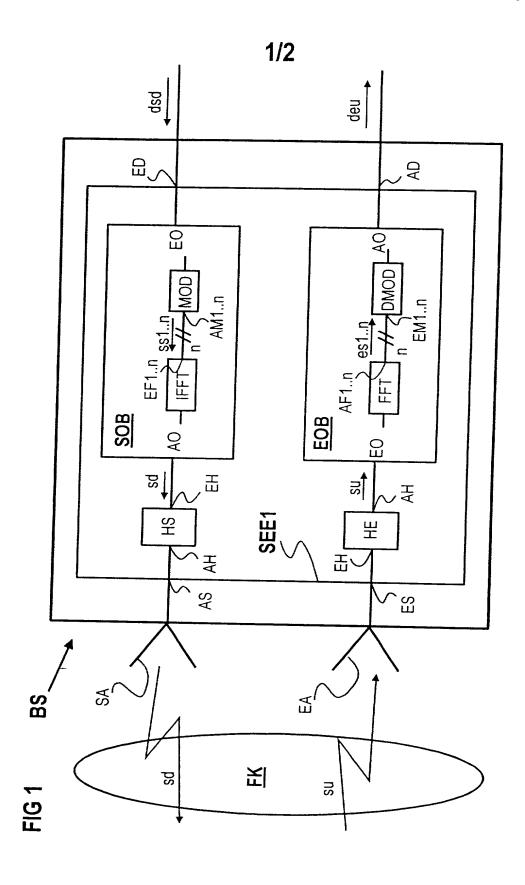
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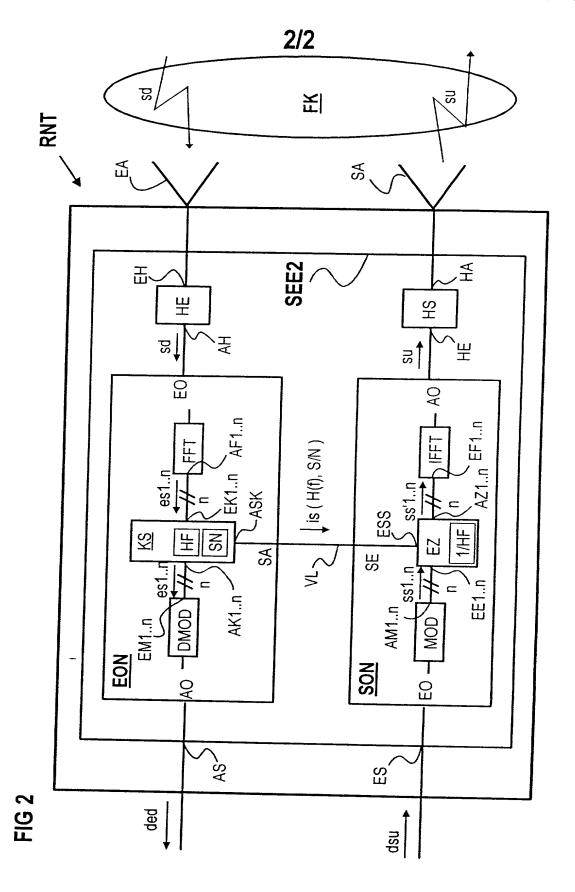
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To transmit information with the aid of a transmit signal (su) exhibiting a number of frequency-specific subcarriers from a first unit (RNT) to a second unit (BS) via a transmission medium (FK), the frequencyselective transmission characteristics of transmission medium (FK) are determined in the first unit (RNT) and then the subcarriers of the transmit signal adapted to the (su) are transmission characteristics determined. All subcarriers of transmit signal (su) can be advantageously modulated with the same number of modulation levels as a result of which maximum utilization of the transmission resources of the transmission medium (FK) is achieved.

20 Figure 2





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# Declaration and Power of Attorney For Patent Application Erklärung Für Patentanmeldungen Mit Vollmacht German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit

As a below named inventor, I hereby declare that:

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I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Verfahren und
Kommunikationsanordnung zur
Uebermittlung von Informationen mit
Hilfe eines Multitraegerverfahrens

for transferring information by using a multi-carrier method

Method\_and communications assembly

deren Beschreibung

an Eides Statt:

the specification of which

(check one) ☐ is attached he	reto	
was filed on _		as
PCT international	application	
PCT Application I		T/DE99/03865
and was amende		
	(if a	applicable)

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung einschliesslich der Ansprüche durchgesehen und verstanden habe, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above.

Ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind, an.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Abschnitt 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 119 aller unten angegebenen Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde, und habe auch alle Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde nachstehend gekennzeichnet, die ein Anmeldedatum haben, das vor dem Anmeldedatum der Anmeldung liegt, für die Priorität beansprucht wird.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

•		German Languag	ge Declaration		
Prior foreign apppl Priorität beansprud				<u>Priorit</u>	y Claimed
19857821.0 (Number) (Nummer)	<u>DE</u> (Country) (Land)	15.12.1998 (Day Month Year (Tag Monat Jahr	Filed) eingereicht)	⊠ Yes Ja	No Nein
(Number) (Nummer)	(Country) (Land)	(Day Month Year (Tag Monat Jahr		Yes Ja	No Nein
(Number) (Nummer)	(Country) (Land)	(Day Month Year (Tag Monat Jahr		Yes Ja	□ No Nein
prozessordnung of 120, den Vorzug dungen und falls d dieser Anmeldu amerikanischen F Paragraphen des der Vereinigten S erkenne ich gemä Paragraph 1.56(a) Informationen an, der früheren Anme	der Vereinigten galler unten a der Gegenstand ing nicht in Patentanmeldung Absatzes 35 de taaten, Paragrajäss Absatz 37, meine Pflicht die zwischen eldung und dem Anmeldedatum	Absatz 35 der Zivil- Staaten, Paragraph aufgeführten Anmel- aus jedem Anspruch e einer früheren g laut dem ersten r Zivilprozeßordnung oh 122 offenbart ist, Bundesgesetzbuch, zur Offenbarung von dem Anmeldedatum nationalen oder PCT dieser Anmeldung	I hereby claim the ben Code. §120 of any U below and, insofar as claims of this applica United States applica the first paragraph of §122, I acknowledge information as define Regulations, §1.56(a) date of the prior applinternational filing date	nited States a the subject ma- tion is not dis- tion in the ma- f Title 35, Un- e the duty to d in Title 37, which occured lication and th	application(s) listed atter of each of the closed in the prior anner provided by hited States Code, disclose material, Code of Federald between the filing a national or PCT
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## **German Language Declaration**

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Unterschrift des Erfinders  Unterschrift des Erfinders  Datum	WOLFGANG ZIRWAS Inventor's signature Date
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Wohnsitz / // //	Residence
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Unterschrift des Erfinders Datum Wohnsitz	Second Inventor's signature Date  Residence
Unterschrift des Erfinders Datum Wohnsitz	Second Inventor's signature Date  Residence
Unterschrift des Erfinders Datum  Wohnsitz  , Staatsangehörigkeit	Second Inventor's signature Date  Residence , Citizenship
Unterschrift des Erfinders Datum  Wohnsitz  , Staatsangehörigkeit	Second Inventor's signature Date  Residence , Citizenship
Unterschrift des Erfinders Datum  Wohnsitz  Staatsangehörigkeit	Second Inventor's signature Date  Residence , Citizenship

(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Miterfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors).

Page 3

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